NATIONAL TRANSPORTATION SAFETY BOARD

IN RE:

THE EL FARO INCIDENT OFF THE: NTSB Accident No.

COAST OF THE BAHAMAS ON : DCA16MM001

OCTOBER 1, 2015

INTERVIEW OF: EARL LOFTFIELD

Friday,

October 9, 2015

Jacksonville, Florida

BEFORE:

MIKE KUCHARSKI, NTSB

U.S. Coast Guard

MIKE MILLAR, ABS

KEVIN STITH, TOTE Services

U.S. Coast Guard LCDR

PRESENT ON BEHALF OF THE INTERVIEWEE:

GIL FELTEL, ESQ., Tanner Bishop

This transcript was produced from audio provided by the National Transportation Safety Board.

P-R-O-C-E-E-D-I-N-G-S

4:17 p.m.

MR. KUCHARSKI: Okay, good afternoon everyone. Today is the 9th of October. And we're still onboard the El Yunque. And this is part of the investigation of the El Faro loss, or accident, or incident.

My name is Mike Kucharski. I'm with the NTSB. The NTSB essentially is tasked by Congress to determine probable cause in transportation accidents.

We have no enforcement powers. We try to determine the best that we can the probable cause in hopes that there will be recommendations or lessons learned so this tragic accident is not repeated.

We're here in the Captain's office on the El Yunque in Jacksonville. And the El Yunque we understand is a sister vessel of the El Faro. And we'd like to ask the Captain some questions.

Again, my name is Mike Kucharski. I'm the Group Chairman of Operations, which includes stability, cargo operations and other nautical operations essentially.

CAPTAIN LOFTFIELD: And have you sailed as Captain on this class of vessel?

MR. KUCHARSKI: Yes. Would you identify --

1	before we actually get into
2	CAPTAIN LOFTFIELD: Okay. This is Captain
3	Loftfield. I'm asking Captain Kucharski
4	MR. KUCHARSKI: Okay.
5	CAPTAIN LOFTFIELD: To confirm that he has
6	sailed as Captain on this class of vessel.
7	MR. KUCHARSKI: I have, yes.
8	CAPTAIN LOFTFIELD: And that's working for
9	this company? TOTE Maritime?
10	MR. KUCHARSKI: I worked out of Motion
11	Trailer Express.
12	CAPTAIN LOFTFIELD: Oh, Motion Trailer
13	Express.
14	MR. KUCHARSKI: Right.
15	CAPTAIN LOFTFIELD: Running to the Gulf,
16	through the Gulf of Alaska?
17	MR. KUCHARSKI: Correct.
18	CAPTAIN LOFTFIELD: Thank you.
19	MR. KUCHARSKI: Sure. And now we can go
20	around the room and identify ourselves.
21	LCDR Lieutenant Commander
22	from the U.S. Coast Guard.
23	MR. MILLAR: Mike Millar, American Bureau of
24	Shipping.
25	MR. STITH: Kevin Stith with TOTE Services.

with the Coast MR. 1 2 Guard. MR. FELTEL: Gilbert Feltel with Tanner 3 I'm here as the Captain's personal Bishop law firm. 4 5 representative. MR. KUCHARSKI: Personal representative, 6 7 great. And Captain, would you state your name for the 8 record and then spell it for us? 9 CAPTAIN LOFTFIELD: My name is Earl Loftfield, Echo-Alpha-Romeo-Lima, Lima-Oscar-Foxtrot-10 11 Tango-Foxtrot-India-Echo-Lima-Delta. 12 MR. KUCHARSKI: Great. And Captain, would you tell us your basic maritime experience and 13 14 schooling? If you went to a maritime school? And 15 through the present. Just give us a brief overview of 16 that. I started through the 17 CAPTAIN LOFTFIELD: 18 Seafarers International Union Harry Lundeberg School of 19 Seamanship in the class of 219 in 1976/1977. It was a three-month class. Sailed on the Ohio and Mississippi 20 21 Rivers for a short time. When I chose to continue my education, I 22 23 chose to go to Massachusetts Maritime Academy. I have two brothers that are both in maritime. 24 My oldest brother, Eric Loftfield is ten 25

years older then I am. He went through Kings Point. 1 2 And presently works as a Pilot for TOTEM Motion Trailer 3 Express running up to Alaska. My other brother, Curtis, was Chief Engineer 4 5 onboard the ocean ships Paul Buck for the entire life of the vessel, some 25 years. 6 7 I went back to school, Mass Maritime, and graduated in the class of '86. And I have 29 years of 8 9 sailing time. Twenty-nine years in the industry. That's approximately six months sailing each year. 10 MR. KUCHARSKI: Captain, have you sailed on 11 12 other RoRo vessels besides this vessel? CAPTAIN LOFTFIELD: I've sailed on the El 13 14 Yunque, the Northern Lights, the Westward Venture, the 15 Great Land, and then on the Northern Lights rechristened as the El Faro. And then came back to the 16 17 El Yunque. 18 I have about 15 or 16 years of sailing time 19 as Captain on those vessels. 20 MR. KUCHARSKI: Great. Okay. 21 suffice it to say you know the vessels fairly well. 22 CAPTAIN LOFTFIELD: Yes, I do. 23 MR. KUCHARSKI: And how long have you been Master on this particular one? Whether this vessel, 24 then any of the others? 25

CAPTAIN LOFTFIELD: I was initially on this run in 1999 and 2000 as Second Mate. And came back to this run to the SeaStar run initially when they were doing service to Philadelphia. And I believe that was in 2010.

I can check the payroll records if you'd like a more accurate time of stating that.

MR. KUCHARSKI: Okay. So when the -- you said you had spent 15 years as Master of the vessel, was any of that 15 years as Second Mate also? Or was that --

CAPTAIN LOFTFIELD: No, from 2000 I began to sail as Master on these vessels. But I've also sailed on several myriad homed MSC chartered vessels or just activations, no notice activations and maintenance activations.

So those vessels would include Cape Fear,
Cape Mohican, I did extensive work on the training ship
for the -- for Mass Maritime. It was I believe first
the Velma Lykes then the Cape Bon, then the Enterprise,
then the Ted Kennedy.

Took it through a good portion of its yard periods at Bender Shipyard. I also did a four-month trip on the Flickertail State going over to South Korea in 2001.

1	MR. KUCHARSKI: Okay. Back to my original
2	question, were any of the besides these vessels,
3	were any of those RoRo vessels?
4	CAPTAIN LOFTFIELD: No, those were not.
5	MR. KUCHARSKI: Okay. So your RoRo
6	experience is on this class of vessel?
7	CAPTAIN LOFTFIELD: As Captain.
8	MR. KUCHARSKI: As Captain?
9	CAPTAIN LOFTFIELD: Yes. I have some RoRo
10	experience as a Third Mate as well.
11	MR. KUCHARSKI: Okay. Thank you. So the El
12	Faro, how much did you ever spend any time on that
13	vessel?
14	CAPTAIN LOFTFIELD: Yes. That's what I
15	said.
16	MR. KUCHARSKI: Okay. As Second Mate was
17	that?
18	CAPTAIN LOFTFIELD: No.
19	MR. KUCHARSKI: Okay. The actual time on
20	the El Faro?
21	CAPTAIN LOFTFIELD: When it was the Northern
22	Lights, there was a trip in 2003. And then it was
23	about 2010 that the Great Land laid up.
24	And they needed to have a relief Captain in
25	lay up in Baltimore for the El Faro. And I spent about

five months on it in lay up there. Then delivered it 1 2 to Philadelphia. At which point another Captain came on for a 3 ten-week rotation. And I went back and relieved him 4 5 and did another ten-week rotation. So that would have been about May that it 6 7 started. And my second rotation started somewhere --I'd have to pull out the dates, but. 8 9 MR. KUCHARSKI: Was that at sea? CAPTAIN LOFTFIELD: 10 Yes. MR. KUCHARSKI: So, you had the lay 11 It was. up time as Captain in 2010. And then you had at sea 12 time on her as Northern Lights? 13 14 CAPTAIN LOFTFIELD: It was the El Faro at 15 that time. It was rechristened the El Faro somewhere around 2006 or 2007 I believe. 16 MR. KUCHARSKI: So a number of months on 17 18 there as Master --19 CAPTAIN LOFTFIELD: Yes. 20 MR. KUCHARSKI: Underway. 21 Both Northern Lights and CAPTAIN LOFTFIELD: 22 El Faro. 23 MR. KUCHARSKI: The handling of the vessel itself, did you notice anything in the way she handled? 24 Was it more tender after they put the container 25

arrangement onboard?

Was it -- how did it react in a seaway? Did you have it in any substantial seas, say anything over 20 foot?

CAPTAIN LOFTFIELD: It was much stiffer after the container conversation had taken placed.

They put permanent ballast in double bottoms. It made for a less -- a shorter rolling period, quartering on snap rolls.

The same is true of this vessel now. I -- as Second Mate, I was on this ship with Hurricane

Floyd. So I did see some higher waves. And in a light condition, it's incredibly sharp snap rolls.

In our present loaded conditions when we're loaded nearly down to our marks, when we're sailing with a full boat, it is tender. But it is within the Coast Guard and the ABS approved stability books.

There's -- and you got to trust somebody.

MR. KUCHARSKI: So the -- typically on the southbound run from Jacksonville down to San Juan it would be fairly close to your marks?

CAPTAIN LOFTFIELD: For the last two years, yes.

MR. KUCHARSKI: And why do you differentiate for the last two years?

CAPTAIN LOFTFIELD: The Horizon Lines
terminated its service completely last year. And in
the year prior to that, they were having machinery
casualties with their ships that reduced their cargo
carriage capacity.
And the SeaStar was picking up the cargo
carriage.
MR. KUCHARSKI: And when you say they're
tender, it's
CAPTAIN LOFTFIELD: You said tender.
MR. KUCHARSKI: Okay.
CAPTAIN LOFTFIELD: What do you mean by
tender?
MR. KUCHARSKI: Yes.
CAPTAIN LOFTFIELD: What do you mean by
tender?
MR. KUCHARSKI: Explain when you say there's
less margin, okay? Or you're close to your marks. I
think you said. We can always play it back if you want
to.
CAPTAIN LOFTFIELD: The marks are
MR. KUCHARSKI: What do you mean then that
no ship is
CAPTAIN LOFTFIELD: The marks are
established by the Classification Society. It was

originally developed -- they're called Plimsoll marks, and it's for insurance purposes to make it so that the vessel is worthy of insuring instead of overloading and sinking.

And this is -- runs back several hundreds of years. So, the marks are the draft marks that are on the vessel forward, aft and midships. And we are not permitted to submerge those marks and sail to sea at that time.

So, close to the marks is close to the safe working limits that have been established by Classification Society and regulatory agencies.

MR. KUCHARSKI: Okay. So, let me -- you were saying that the ships were stiff when they were in a lighter condition.

CAPTAIN LOFTFIELD: It has a shorter roll period. The physics of a roll period is that a pendulum will go back and forth at about the same rate.

That's why a clock is reliable, based on a pendulum.

And so the -- when you shorten that, when the roll period is not as great or as long in time, but is still subjected to the forces that make it run the entire distance, it means that you get to the end and have to turn around and come back that much faster.

That's what is referred to as a stiff ship.

MR. KUCHARSKI: Okay. And when the ship 1 2 leaves close to her marks, how would you describe the motion then? 3 CAPTAIN LOFTFIELD: The rolling period, the 4 5 total number of second is longer. It's more seconds. So it takes longer to get from one side to the other. 6 7 And that being the case, there is a much 8 lower incidence of putting stress on the lashes and on 9 the crew. 10 MR. KUCHARSKI: You mentioned that you were 11 in Hurricane Floyd. How close were you to the center 12 of the hurricane? And were you at -- I assume you were 13 at sea at the time? 14 CAPTAIN LOFTFIELD: Hurricane Floyd 15 approached Puerto Rico just as we were departing. We made an assessment of -- or the Captain at the time 16 made an assessment to route south and west of the 17 18 Bahamas through a channel known as Old Bahama Channel. 19 The Hurricane on its approach had been 20 moving back and forth. And that was seen -- in an 21 unpredictable manner. And that was seen as the 22 desirable direction to go. 23 Hurricane Floyd essentially arrived at Jacksonville at the same time that we were scheduled to 24 arrive. So, we let it come in first. 25

We were coming up from Old Bahama Channel, 1 2 which comes up all the way along the south coast of Florida. With Hurricane Floyd being here, the waves 3 and swells were going straight down the straits of 4 5 Florida from Jacksonville south towards Miami. And we were facing probably about 35 to 40 6 7 foot seas at the time. We were not able to head straight into them because of the motion of the vessel 8 9 with that much pitching. And so we were taking them on the quarter. 10 11 And essentially diagonally back and forth. They're 12 tacking back and forth across the swells. MR. KUCHARSKI: So how close would you 13 14 actually say you were to the center of the hurricane? 15 The closest point? CAPTAIN LOFTFIELD: Several hundred miles. 16 MR. KUCHARSKI: And your position at that 17 18 time when you were on the vessel? CAPTAIN LOFTFIELD: I was Second Mate. 19 20 MR. KUCHARSKI: I see. Follow on questions 21 here? 22 Lieutenant Commander LCDR Yes. 23 from the Coast Guard. Just with regard to 24 the 35 to 40 foot seas on the quarter that you mentioned. 25

That's actually on the CAPTAIN LOFTFIELD: 1 2 If I said quarter -- we were hitting them at about 45 degrees off the bow. Technically on the 3 quarter is 45 degrees off the stern. 4 5 LCDR Thank you. And when you were taking those 35 to 40 foot seas, what kind of 6 7 water were you seeing on the second deck? And did you 8 have any down flooding through any place? 9 CAPTAIN LOFTFIELD: We were in a very controlled environment. The swells were large and 10 11 coming straight down southward. But we did not have a 12 lot of wind impact. So, my recollection is that we were not 13 seeing windswept foam coming off of the waves, which is 14 15 indicative of a Beaufort Scale six or greater. That 16 the wind was relatively calm, not calm, but, you know, 20 knots or so. 17 18 And I was -- as Second Mate, I was not 19 concerned with going and making an inspection of the I do not have any awareness of whether water 20 21 was getting in onto second deck through the side ports. 22 LCDR Thank you. 23 MR. MILLAR: Mike Millar, ABS. Given the 24 heavy sea state that you experienced, was this on the 25 El Faro that you were serving as Second Mate at the

time? 1 2 CAPTAIN LOFTFIELD: It was on the El Yunque. MR. MILLAR: On the El Yungue. And was the 3 propeller coming out of the water? Did it change the 4 5 behavior that -- were they so rough that the propeller was coming out of the water? 6 7 CAPTAIN LOFTFIELD: I don't have a recollection of that. 8 9 MR. MILLAR: Okay. And I assume you were standing watches as Second Mate during that time. 10 11 12 CAPTAIN LOFTFIELD: Yes, I was. MR. MILLAR: And this went on for about one 13 14 day? Two days? 15 CAPTAIN LOFTFIELD: About one day. MR. MILLAR: Did you experience any alarms? 16 CAPTAIN LOFTFIELD: No. 17 MR. MILLAR: And did you -- were there any 18 19 alarms reported by engineering while you were on watch? 20 CAPTAIN LOFTFIELD: No. 21 MR. STITH: Kevin Stith, TOTE Services. Ιf 22 you can recall when you were experiencing those 23 conditions on the El Yunque, were there any issues with any of the lashing gear? Or cargo shifting? 24 CAPTAIN LOFTFIELD: Negative. 25

1	MR. STITH: Thank you.
2	MR. I don't have any questions
3	about that.
4	MR. KUCHARSKI: Back to these vessels,
5	either the El Faro or El Yunque, what would you say the
6	maximum beam wind you've been in?
7	CAPTAIN LOFTFIELD: On the El Faro or El
8	Yunque I would say maybe 45 knots. There's not a
9	single incident that really stands out though.
10	MR. KUCHARSKI: Same basic container
11	configuration as we are seeing now with deck load?
12	CAPTAIN LOFTFIELD: No. As I stated
13	earlier, the total deck load and total load has
14	increased in the past two years to maximum design
15	capacity.
16	MR. KUCHARSKI: I know you stated that, but
17	then tell me what that means in containers, okay? On
18	the actual main deck. Does that mean it's higher now
19	then it was back then?
20	CAPTAIN LOFTFIELD: It's common to have
21	everything double stacked on containers. And there are
22	some triple stackings in full loads.
23	That's all very much determined by stack
24	weight. And the deck load requirements or allowances.
25	MR. KUCHARSKI: Okay. Irrespective of

weight, how about the heights? Has that changed any? 1 2 CAPTAIN LOFTFIELD: No. Not really. The -it's always been -- the limits have always been the 3 deck weights. So, with full containers, which is what 4 5 we're always going south with, there's no sense in taking empty containers down there, the height is 6 7 fairly well restricted to two or three high because of the deck load considerations. 8 9 MR. KUCHARSKI: Okay, now when I look back aft today, I see them six high. Is that something 10 different that we're talking about now when we talk 11 12 about the main deck? I would have to take a CAPTAIN LOFTFIELD: 13 14 look at that. They were stacked high this morning. 15 Those were empties that had not been stripped off yet. But I have not seen six high loaded 16 containers on the ship ever. 17 18 MR. KUCHARSKI: Okay. So southbound, fore 19 and aft, everything on the main deck is three or below? 20 Sometimes four. CAPTAIN LOFTFIELD: 21 MR. KUCHARSKI: And when you were in the 45 22 knot beam wind, what kind of a list did you experience? 23 CAPTAIN LOFTFIELD: No appreciable sense of more then a two or three degree wind-induced list. 24 in other words, if it was rolling ten degrees, it would 25

go 13 degrees to one side and seven degrees to the 1 2 other. I'll point out that having worked on car 3 carriers, that with car carriers, any wind change will 4 5 induce a two or three degree list. And it's a rather constant thing at the end of each watch to adjust the 6 7 ballast to keep the ship upright. This ship -- my experience with this class 8 9 of vessel is that it is far more stable then that. Much less wind area, much less sail area as we say. 10 MR. KUCHARSKI: So the sail area as you say, 11 12 we're talking about the containers and the side of the ship? 13 CAPTAIN LOFTFIELD: That's what sail area 14 15 would be. MR. KUCHARSKI: And your experience is that 16 there's a lot less list caused by the sail area and the 17 18 wind hitting on that beam? 19 That's correct. CAPTAIN LOFTFIELD: 20 Lieutenant Commander LCDR 21 from the Coast Guard. Sir, I just wanted to 22 ask you if you've ever seen a difference between your 23 cargo max calculated drafts and the drafts that you're actually seeing on the vessel? And if so, what level 24 of discrepancy would you see? 25

CAPTAIN LOFTFIELD: Every time we take 1 departure, we have the cargo max information printed 2 up. And every single time we go ahead and write the 3 actual drafts on there. 4 5 We track that very closely. It is generally a slight difference in trim by maybe a total of four 6 7 inches. And maybe a discrepancy of two inches on total emersion. 8 9 Generally the cargo max will say that it thinks we're deeper in the water then we actually 10 observe by reading the marks. 11 12 LCDR Thank you. MR. KUCHARSKI: This is Mike Kucharski. 13 14 Just to be clear on that, you said that the cargo max 15 is showing you deeper in the water then you actually are? 16 CAPTAIN LOFTFIELD: That's correct. 17 Вy 18 about two inches. 19 MR. STITH: Kevin Stith from TOTE Services. 20 In you experiences on the El Yunque and the El Faro, 21 have you ever seen or had issues with standing water on 22 the second deck? Or taking seas on the second deck? 23 CAPTAIN LOFTFIELD: Have I seen water on the second deck, or standing water? 24 MR. STITH: Have --25

1	CAPTAIN LOFTFIELD: It doesn't stand.
2	MR. STITH: Have you had exactly. Have
3	you had issues with that?
4	CAPTAIN LOFTFIELD: No. Any water that
5	comes on is self bailing.
6	MR. STITH: Okay. So the water drains
7	CAPTAIN LOFTFIELD: It drains, self
8	draining.
9	MR. STITH: Drain sufficiently. And just
10	for the record, how is it drained?
11	CAPTAIN LOFTFIELD: There's side port
12	openings that are at deck level. There is also
13	scuppers. Do I need to define scuppers for this group?
14	MR. KUCHARSKI: I don't think so.
15	CAPTAIN LOFTFIELD: Thank you.
16	MR. STITH: Do you ever remember taking any
17	seas other then spray or rainwater on the second deck?
18	CAPTAIN LOFTFIELD: What we would call green
19	water?
20	MR. STITH: Yes.
21	CAPTAIN LOFTFIELD: I have seen evidence of
22	green water on the second deck. I have not been down
23	there on the second deck looking at it when it takes
24	place.
25	I have walked around on the second deck and

have waves splash on me. It's a somewhat periodic 1 2 thing and it's -- but, so I have seen water on the second deck. 3 And I have seen items that were on the 4 5 second deck, primarily the extension cords for reefers indicate that they have been moved from side to side 6 7 with the flow of water. 8 MR. STITH: Okay. 9 10 anywhere from 45 to 90 degrees? 11 12 13

In regards to maneuvering in heavy seas, let's say greater then 20 feet, have you ever tried to make an appreciable course change? Say

And if you have, how is the ship responding? Is it listing to one side? Or rolled excessively?

CAPTAIN LOFTFIELD: I have altered course to change the angle at which the ship is meeting the seas. And it is effective.

> Okay. Thank you. MR. STITH:

MR. KUCHARSKI: Shift gears a little bit to weather, weather routing, weather information. there a company process to discuss whether to leave port or not if there's weather outside? Is there a company, a safety management system? Any kind of directives on that?

There is no process by CAPTAIN LOFTFIELD: which the Captain is required to consult with anybody

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in the company for permission to leave port.

MR. KUCHARSKI: Is there any discussion that you have had since you've been out here on this particular run with anyone in the office about whether going outside, concerns about it, possibly changing route?

CAPTAIN LOFTFIELD: We sometimes carry cargo that is weather sensitive. And when we are, we will be consulted about the weather to see if it is suitable for that cargo.

MR. KUCHARSKI: So, the weather discussions have centered around the cargo. How about the ship itself and the route of the ship?

CAPTAIN LOFTFIELD: Actually none of this class of vessel has extensive service in the Gulf of Alaska. And it is the responsibility of the person on scene to make decisions that are appropriate for the welfare of the vessel.

So, there is not a forum in which someone ashore presumes that their authority is going to make better decisions then the vessel's Captain. Does that answer your question?

MR. KUCHARSKI: Not quite. I'll continue.

Does -- is there any of the voyages that you've made on

here where you've actually talked to someone shore-side

to explain a route you were going to take or possibilities heading southbound?

Or did ever come across that you were not going to sail because of the weather?

CAPTAIN LOFTFIELD: When a hurricane approaches a port, by order of the Captain of the Port of the Coast Guard, vessels are to get out of the port. So the concept of being told not to sail out of the port is a dead lead.

We may be told to move to safety. When Hurricane Katrina was coming -- not Katrina, Rita, I was in Beaumont. And I was ordered out of the port by MSC even though I hadn't refueled and I didn't have any cargo onboard.

And the Martin Luther King Bridge is very low. We barely got under the bridge with the BHF radio antennas dragging across it. And we got out of port.

So the notion of being told not to leave port is an inflammatory statement or lead that I think that you, Captain Kucharski, should be embarrassed about.

MR. KUCHARSKI: Okay. Is there a Captain of the Port order to go ahead and not leave port in this port? Do you know that? Or to Captain of the Port order to leave port? You know, if a hurricane

approaches? 1 CAPTAIN LOFTFIELD: There are hurricane 2 contingencies. The Captain of the Port will have his 3 hurricane contingencies. And we take the 4 5 recommendations. They are the orders of the Captain of 6 the Port. 7 And for the specifics of that, I would 8 encourage you to ask the Captain of the Port. 9 MR. KUCHARSKI: So you're not aware of -the short answer is you're not aware of any? 10 11 CAPTAIN LOFTFIELD: Well, there was not an 12 order of the Captain of the Port to get out of port on 13 the sailing time of the El Faro. Is that --14 MR. KUCHARSKI: Okay. 15 CAPTAIN LOFTFIELD: Hit it okay? 16 MR. KUCHARSKI: Yes. CAPTAIN LOFTFIELD: No. That hurricane 17 18 wasn't approaching Jacksonville. 19 MR. KUCHARSKI: So, back to the rest of the 20 question. So, is there any process whereby you would 21 call anybody ashore? Have a discussion with anybody 22 ashore in any kind of a weather situation besides the 23 cargo itself? 24 There wasn't any type of -- with anybody in

operations? Or any at VP level or anything like that?

CAPTAIN LOFTFIELD: No. I notified the office of my sailing plan at the time that I'm sailing. If I am making a deviation from the standard, it's almost due southeast and due northwest going back and forth between Jacksonville and Puerto Rico.

If I'm going to make a different route, I notify the office at the time that I've decided to do it. They do not second guess me. They do not tell me that I should or I should not.

There is absolutely no -- I have never received anything along the lines of that's going to take extra miles or extra time or extra money or extra fuel. I have never been doubted on anything like that.

MR. KUCHARSKI: So, you would basically say it's the Captain's decision then to leave port. Nobody at the company is going to say anything. It's the Captain's decision to leave port and to go ahead and route it the way he sees fit?

CAPTAIN LOFTFIELD: The thing that would interfere with leaving port is if the weather conditions were difficult enough in port so that the pilots were not willing to take the trans -- to transit the vessel.

Generally, when there's the risk of an approaching storm and the weather could possibly get

worse and worse and worse, the Coast Guard will order 1 2 the evacuation prior to the approach of a storm. So, those circumstances in which I would be 3 impeded in leaving at a given moment, is because there 4 5 is a small storm, unfavorable conditions blowing 6 through, not a major hurricane. And the pilots and the 7 tug boat operators say, it's not a -- we're not going 8 to take you out right now. 9 MR. KUCHARSKI: So you would say in your opinion, if a storm were approaching, you would rather 10 11 be out -- head out to sea then stay in port? 12 CAPTAIN LOFTFIELD: Yes. 13 MR. KUCHARSKI: What system do you use or 14 how do you gather weather information? 15 CAPTAIN LOFTFIELD: The primary data source for weather is National Oceanic and Atmospheric 16 17 Administration. We can get their weather maps. 18 But there are many software applications 19 that use that data and make their own projections. 20 Utilize that data and that data's projections and have 21 an easy to use graphic interface. 22 The one that we use on here is called the 23 Bon Voyage System, BVS. 24 MR. KUCHARSKI: Is that the same system 25 while you were on El Faro that they used on there?

CAPTAIN LOFTFIELD: Yes. It's purchased by 1 2 the company. MR. KUCHARSKI: Okay. And can you tell us 3 what type of information comes from the Bon Voyage 4 5 System? CAPTAIN LOFTFIELD: You select the 6 information data that you chose to have. The different 7 It is sent out on a six-hour basis. 8 profiles. 9 It is transmitted via satellite. So, you can specify the entire planet and all the data they 10 11 possibly have, which is a larger data package. 12 dial it in for the relevant areas and the most relevant weather phenomena that will give you information to 13 14 make your plans by. 15 So, that's what I do. For me to make my 16 plans on. MR. KUCHARSKI: Okay. And does this Bon 17 18 Voyage System, does it provide any voyage or weather 19 routing, or voyage avoid this type of information 20 depending on the weather? Does it provide that to you? 21 CAPTAIN LOFTFIELD: It can be accessed to 22 make recommendations. I prefer to look at the data and 23 make my own assessments and my own evaluations. 24 MR. KUCHARSKI: So the system that's loaded on here in what --25

1	CAPTAIN LOFTFIELD: I have never utilized it
2	to make suggestions to me.
3	MR. KUCHARSKI: But it has that capability?
4	CAPTAIN LOFTFIELD: I have not looked for
5	it. I have not Investigated. I don't care about that
6	capability.
7	MR. KUCHARSKI: So you gather it. It gives
8	you six hourly weather. Can you tell us what type of
9	weather it gives you? What weather information?
10	CAPTAIN LOFTFIELD: The functions that I use
11	are wind speed and direction, wave height, and swell
12	height and direction.
13	MR. KUCHARSKI: And do you also see the
14	prediction of where the storm is going to hit?
15	CAPTAIN LOFTFIELD: Yes.
16	MR. KUCHARSKI: And how long is the run from
17	arrival or departure to arrival on this run?
18	CAPTAIN LOFTFIELD: The direct route is
19	1,090 miles.
20	MR. KUCHARSKI: How much time on an average
21	southbound trip does that take?
22	CAPTAIN LOFTFIELD: It's about 55 hours.
23	MR. KUCHARSKI: And is that a close to
24	full speed run? Or is that a
25	CAPTAIN LOFTFIELD: Yes, it is.

MR. KUCHARSKI: What's the full speed of the 1 2 ship? CAPTAIN LOFTFIELD: Under varying 3 conditions, I've seen these ships, this class of vessel 4 5 doing 23 knots. When we're carrying a tremendous number of reefers, that utilizes an awful lot of the 6 7 live steam to run the turbo generators. So there's less live steam going to the propeller shaft. 8 9 So, if we can make 20 knots or 21 knots, we're doing well. If the weather conditions are 10 11 unfavorable, the speed comes down a lot more then that. 12 MR. KUCHARSKI: Are there bilge keels on the hull of this ship? 13 14 CAPTAIN LOFTFIELD: There is very little in 15 the way of bilge keels. There is a -- approximately oh, maybe 250 feet long on the turn of the bilge, not 16 much more then 12 inches I think. And that's on the 17 18 mid-body. 19 MR. KUCHARSKI: On the mid-body. Do you 20 recognize -- do you remember if there were bilge keels 21 on El Faro? 22 CAPTAIN LOFTFIELD: I would expect there to 23 be. But I'm not sure that I ever took that through a 24 dry docking period. MR. KUCHARSKI: So the -- to close on the 25

weather routing and the weather, you consult the Bon 1 2 Voyage System. You look at it, make the decision on where you're going to head the vessel. 3 You have basically no discussion with the 4 5 company as far as any kind of decision to route the vessel. You choose it. Let them pretty much know. 6 7 If you're weather routing, if you're going around bad weather -- have you been in any bad weather 8 9 on this vessel on this run southbound? (Telephone interruption). 10 CAPTAIN LOFTFIELD: Pardon me, that's a crew 11 12 member that's looking for a payoff. MR. KUCHARSKI: Captain, would you like us 13 14 to stop this so you can pay him off? If it's a --15 CAPTAIN LOFTFIELD: Yes, there's a --MR. KUCHARSKI: Would you prefer doing that? 16 CAPTAIN LOFTFIELD: 17 Yes. 18 MR. KUCHARSKI: We can stop for a --19 CAPTAIN LOFTFIELD: Okay. 20 MR. KUCHARSKI: For a few minutes. 21 CAPTAIN LOFTFIELD: That would be good. 22 It's probably going to be about 20 minutes. 23 four of them. MR. KUCHARSKI: Is that okay with your 24 scheduling as far as stopping and then continuing? 25

CAPTAIN LOFTFIELD: That's okay with my 1 2 scheduling. The Sailing Board has been postponed until at least midnight. 3 MR. KUCHARSKI: Okay. I don't want to --4 5 CAPTAIN LOFTFIELD: So I have time. 6 MR. KUCHARSKI: Okay, you have time to get 7 rest and everything? 8 CAPTAIN LOFTFIELD: Got time to get rest. 9 Got time to do payoffs. Got time to complete the electronic notice of arrival. 10 11 MR. KUCHARSKI: Okay. It's 1700. 12 stop the interview. (Whereupon, the above-entitled matter went 13 14 off the record at 1700 hours at 1749 hours.) 15 MR. KUCHARSKI: Okay. Good evening again 16 It's now about 1749. We're continuing the interview of Captain Loftfield. And today is the 9th 17 18 of October. And as I mentioned, it's about 1750 now. 19 So Captain Loftfield, to continue, I think 20 the line of questionings -- questioning, I think the 21 last I had left off with, I asked you about would you -22 - your preference be something on the lines of leaving 23 port if bad weather were approaching or staying in the 24 port? And your preference would be to put to sea? 25

CAPTAIN LOFTFIELD: Absolutely. 1 2 MR. KUCHARSKI: And could you briefly just say why that would be? 3 CAPTAIN LOFTFIELD: With most storm systems, 4 5 they travel at a speed slower then the ship travels. And essentially, you can run away from a storm. 6 7 If a storm wants to be in a port, the best thing is to not be where the storm wants to be. 8 9 MR. KUCHARSKI: Okay. And when you say it can go faster then the storm, this particular class of 10 ship, are you differentiating because of your speed? 11 12 Or --CAPTAIN LOFTFIELD: Most ships -- most 13 14 storms, hurricanes, tropical storms, are the most 15 highly tracked storms that there are. And they generally move between six and -- or maybe 15 knots. 16 And as with any traffic situation, this is 17 just a bigger piece of traffic. And you can maneuver a 18 19 vessel so that you are not on a collision course with a 20 vessel, with another vessel. 21 You can almost always get away unless it 22 keeps on chasing you. 23 MR. KUCHARSKI: Have you looked at the track 24 of the Joaquin -- the -- when it went tropical storm, then hurricane? 25

CAPTAIN LOFTFIELD: I have.

MR. KUCHARSKI: Would you have gone towards as the west as the El Faro essentially sent further west then a normal track?

CAPTAIN LOFTFIELD: In the models that I had seen, it looked like west would be a safe place.

Furthermore, west is through several of the openings in the Bahamas, which would have dampened the amount of swell that was getting through there.

Even if the winds had continued that strong, it would have been a breakwater that would have reduced some of the seas.

MR. KUCHARSKI: Okay. And what happens when you pass a breakwater or an island or something like that when you have a lea or a shelter from the wind, and all of a sudden you come out away from that? Is there anything that you've experienced?

CAPTAIN LOFTFIELD: Well, the breakwater does not really offer shelter from the wind. The wind -- unless it's a very tall breakwater. A breakwater disrupts the harmonic motion of a swell or seas that are traveling through water and stops that from happening.

So, in the absence of a sea condition, even if there's a strong wind, the wind would maybe lean the

vessel over. But the wind itself is not going to make 1 2 the vessel roll back and forth. The effect of the breakwater is it will 3 reduce the sea. And it will reduce rolling motion of a 4 5 vessel. MR. KUCHARSKI: Okay. So if you're in --6 7 being protected by this breakwater from sea induced rolling motion and then all of a sudden you come past 8 that, what's been your experience to now all of a 9 sudden you're getting the force of the wave? 10 CAPTAIN LOFTFIELD: The vessel starts to 11 12 roll. MR. KUCHARSKI: Have you found any 13 14 accentuating type phenomena when you pass a particular 15 point of land? Any funneling or anything like that? CAPTAIN LOFTFIELD: There's a passage 16 through the Bahamas called Providence Channel. 17 18 there have been times coming northbound when the vessel 19 has been subjected to rolling from a storm that was far off. 20 21 So, there was only a swell roll, not a sea 22 induced wave state in that place. And upon entering in

through what is essentially a breakwater at Northwest

the east end of the entry, the vessel stops rolling.

Passage, -- not Northwest Passage, at Hole in the Wall,

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24

1 2 3 as soon as we are in the path of the swell. 4 5 6 7 away from where the swells were generated. 8 9 swell? 10 11 CAPTAIN LOFTFIELD: Yes. 12 swell. Thank you. MR. KUCHARSKI: Okay. 13 14 15 16 with a storm out there. 17 18 19

And then, after we've gone all the way through the Bahamas and are some 14 hours later and are clear of that shelter, we will experience rolling again

But it tends to be greatly reduced because we're so much further north and further west, which is

MR. KUCHARSKI: Okay, just to be clear, you said sea induced swell. Did you mean wind induced

Wind induced

No, thank you. So, you looked at the track, you think that heading further towards the west would have been a prudent maneuver

Would you have considered running before it as opposed to -- and let me say, running before is with having the wind and seas bath to beam. Would you have considered doing that?

CAPTAIN LOFTFIELD: My recollection of looking at the track is that most of the time he did have the wind and the seas coming from aft of the beam, about the beam. Which would be a favorable condition for getting past the entire storm.

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And when it became -- or as it became 1 2 apparent, if it became apparent that he was not going to get past it, then trying to get behind the only 3 shelter available would be a prudent decision. 4 5 MR. KUCHARSKI: Okay. Any -- sure. MR. STITH: Kevin Stith, TOTE Services. 6 7 I've got a couple of questions here. In regards to the track of the hurricane, you've seen the history and 8 9 where the hurricane went. So, your determination to go to west or 10 11 through the Florida Straits, on the other side of the 12 Bahamas is based on that his -- or that track that you've seen? 13 14 CAPTAIN LOFTFIELD: If that was the question 15 that Captain Kucharski was asking, I was not aware of it. 16 MR. STITH: No, that's my question. 17 18 CAPTAIN LOFTFIELD: Okay. Can you repeat 19 the question? 20 So, basically --MR. STITH: 21 CAPTAIN LOFTFIELD: What I was responding to is in the presence of it, I would have done my best to 22 23 duck through an opening in the Bahamas. 24 MR. STITH: Okay. I was not considering at 25 CAPTAIN LOFTFIELD:

all the prospect of having chosen to, oh, here's a 1 2 storm out there. I'm going to route myself 500 miles 3 away from it. Okay. So it -- and my question MR. STITH: 4 5 is, you're basing that on knowing the full track of the hurricane now? That's what you would have done in 6 7 hindsight? CAPTAIN LOFTFIELD: Oh, it's -- well, yes if 8 9 I --Okay, so --10 MR. STITH: CAPTAIN LOFTFIELD: Knew that that was going 11 12 to happen there, I wouldn't have gone there. MR. STITH: Exactly. So, are you aware of 13 14 the weather routing information where the weather data 15 that the El Faro was looking at, have you seen any of that from the last days of September through the first 16 days of October? 17 18 CAPTAIN LOFTFIELD: I have not called up the 19 weather package that arrived in the BVS system for the 20 five days before they sailed. I have not reviewed that 21 data. 22 Okay. MR. STITH: 23 CAPTAIN LOFTFIELD: I have reviewed the data that was available as history. Not the data that was 24 available as a projection. 25

MR. STITH: Okay. So, that answers my 1 2 question on that regard. My other question is in regards to the Bon Voyage System weather data, in your 3 time here, do you find it a reliable source of 4 5 information? CAPTAIN LOFTFIELD: 6 Yes, I do. 7 MR. STITH: Okay. I do utilize it for 8 CAPTAIN LOFTFIELD: 9 planning. I do witness it as being a very good 10 indication of the conditions that I'm going to 11 experience. 12 And I have actually personally made the 13 decision to no longer download the raw data charts from 14 NOA because the Bon Voyage System has such a simple and 15 clear graphic presentation. And interfaces so well 16 with travel planning. 17 MR. STITH: Okay. Thank you. 18 MR. Captain, this is 19 with the Coast Guard. With the Bon Voyage System, you 20 talked about the prediction of the storm that it gives 21 you. 22 Does it give you that in graphical 23 representation? Or is it text as far as it's moving in 24 a certain direction at a certain speed? It's in living color. 25 CAPTAIN LOFTFIELD:

MR. Yes.

CAPTAIN LOFTFIELD: It's an entire chart.

My preferred analysis of it is with the wave heights,

which you want to stay towards the blue stuff, avoid

the yellow stuff. And the orange stuff is really bad.

And the red stuff you just want to look at it and say oh, that must be nasty out there. Glad I'm not there. So, it's very, very graphic, and generally very easy to interpret.

MR. Thank you.

MR. KUCHARSKI: Questions on the weather?
(No response)

MR. KUCHARSKI: Okay. Let's move up to water tight door policy. Is there any water tight door policy that the company has?

CAPTAIN LOFTFIELD: The company policy is to obey the law. And Coast Guard policy is that water tight doors must be closed and secured at sea.

Any opening of the water tight door while at sea needs to be logged. The time that it opened and the time that it closed.

And there is a policy of completing the log books, a log book entry upon departure, that all water tight doors have been checked and secured. And in addition to reviewing all ship stability and noting

that it's safe for the intended voyage. 1 2 MR. KUCHARSKI: Okay. And when I say water tight doors, to -- besides the cargo doors, the large 3 cargo doors that the trailers go through, the scuttles 4 5 and --CAPTAIN LOFTFIELD: All water tight openings 6 7 as inspected and certified by both Coast Guard and ABS, 8 are in that category. 9 MR. KUCHARSKI: So --10 CAPTAIN LOFTFIELD: It's very clear, there's no question about what are water tight doors. 11 12 MR. KUCHARSKI: Okay. So when the ship is 13 at sea, do they go down in the cargo holds to check the 14 cargo? 15 CAPTAIN LOFTFIELD: If conditions permit. Clearly if the conditions are that there is heavy seas 16 going across that weather deck, they would not be going 17 18 down in there. 19 But, yes, during regular inspections of the 20 cargo and equipment, those are accessed. 21 MR. KUCHARSKI: So the scuttles or some kind 22 of door is opened to go inside of that --23 CAPTAIN LOFTFIELD: The scuttles. 24 MR. KUCHARSKI: And are those logged, routinely logged when they're opened and closed? 25

CAPTAIN LOFTFIELD: Scuttles are not 1 routinely logged. It's cargo water tight doors that's 2 specified in the official log. 3 MR. KUCHARSKI: And are the large water 4 5 tight doors ever opened at sea? CAPTAIN LOFTFIELD: Not without logging. 6 7 MR. KUCHARSKI: Okay. So, have you had to -8 - have they been logged that they've been opened and 9 closed? CAPTAIN LOFTFIELD: Yes. 10 MR. KUCHARSKI: Follow on questions? 11 12 MR. MILLAR: Mike Millar, ABS. Captain, for the big water tight doors, what would be an example of 13 14 why a door would need to be opened at sea? 15 CAPTAIN LOFTFIELD: We would actually open a door at sea if we were doing repairs on the plates that 16 go across so that vehicles can drive back and forth. 17 18 MR. MILLAR: Okay. 19 CAPTAIN LOFTFIELD: That would be a repair 20 that we would do at sea so that it's not interfering 21 with other operations. There have been instances where 22 equipment would get stagged out of the engine room, 23 which comes up the water tight door by -- the number two water tight door, where we would want to be able to 24

utilize a forklift to move something back and forth in

anticipation of port or operation support.

MR. MILLAR: Okay.

CAPTAIN LOFTFIELD: And it would not be done in adverse conditions.

MR. MILLAR: And as part of that program, you would do like a risk analysis? Or is that something out of the ordinary that you would do like a safety analysis?

CAPTAIN LOFTFIELD: That is out of the ordinary. And there would be a job hazard analysis is the company form for doing that.

MR. MILLAR: Okay.

CAPTAIN LOFTFIELD: They have job hazard analysis, hot work permits and confined space permits that are all part of our safety program that is managed through the office. And very specifically directed.

MR. STITH: Another question. Kevin Stith with TOTE Services. The Master of the El Faro stated in his voice message to the company, or his conversation with the DPA that day, one of the manholes, one of the scuttles on second deck popped open.

In your determination, your interpretation of that statement, could you envision or reason that a -- one of those scuttles would pop open?

CAPTAIN LOFTFIELD: I do not have awareness of how that could happen. It would simply be speculation --

MR. STITH: Okay.

CAPTAIN LOFTFIELD: To say that a category four hurricane and the dynamics at play there could have something that was not prepared for.

MR. STITH: Okay.

CAPTAIN LOFTFIELD: That's a -- I will say that the person who was Chief Mate on there, I've sailed with a lot. And I have a tremendous amount of respect and confidence for his abilities.

And that he's also been on the run going through the Gulf of Alaska. And is very, very well aware of what's necessary in the way of securing and what looks secure.

And knowing that they were going into inclement weather, I have every confidence that he would have had things as secured as could be. But a category four brings other things into it. Other dynamics.

MR. MILLAR: Mike Millar, ABS. In securing certain closures, particularly in the way of number two deck, or the bulkhead deck, is there any consideration for closing the dampers on the ventilation trunks?

That you know, the exhaust ventilation 1 2 trunks? The screen -- there appear to be screened-in openings. And then there was another closure door 3 inside of that. 4 5 CAPTAIN LOFTFIELD: I have not considered 6 the use of five dampers or fire closures as a part of 7 securing for a water tight condition. And I don't know 8 of that being written as a -- as a strategy for water 9 tight integrity. I have another question, 10 MR. MILLAR: Okay. 11 Captain, this is Mike with ABS. Have you ever 12 experienced or had an indication of the bilge alarm in the number three hold or cargo holds while at sea? 13 14 CAPTAIN LOFTFIELD: No. Not other then 15 testing them. MR. MILLAR: Thank you. 16 MR. KUCHARSKI: This is Mike Kucharski 17 18 again. In an emergency situation, a distress situation 19 or emergency situation, what do you have at your 20 disposal? 21 What on the -- as far as notification, 22 communications type equipment? And what would you use 23 in priority? As a priority, you know, if you had to send out a distress or an alerting message? 24 CAPTAIN LOFTFIELD: There's the GMDSS, 25

that's the Global Maritime Distress Signaling system, which has redundancy in terms of in Morse at sea, it is the primary long range. It also has narrow band direct printing for high frequency and mid frequency radio transmission.

Those are not used as much. They require too many in identifying a station to have any kind of communication.

The vessel is also equipped with satellite telephone. And with the GMDSS and Morse at sea, you can specify through text whatever information you wish to communicate.

A telephone call is probably the first thing that would be done. So that you'd be able to communicate clearly what was being observed and what the assessment of things were.

After that, the -- after you have a telephone call, the initiation of emergency devices is useful in that it notifies Coast Guard and all of the watchers of the emergency signals. But really, you've already communicated what you need to communicate.

The parts of the GMDSS system include the EPERB. The Electronic Position Indicating Radio Beacon and the Emergency Position. That's actually outside of the bridge posted in a -- mounted in a way that you're

cloak free of the vessel. 1 2 Those are the methods of communicating. MR. KUCHARSKI: Okay. You mentioned a phone 3 call. What -- or voice. 4 5 CAPTAIN LOFTFIELD: Voice. MR. KUCHARSKI: So, what would be the 6 7 primary mode of a voice phone call or a voice communication? 8 9 CAPTAIN LOFTFIELD: You mean what equipment do we have to do that? 10 MR. KUCHARSKI: Yes, what you use? 11 Yes, as 12 far as that? CAPTAIN LOFTFIELD: This vessel has two. 13 14 They're both INMARSAT. INMARSAT is based on 15 geostationary satellites orbiting around the equator. It's a system that's been in place for 16 probably 40 years now. Although the -- I'm sure the 17 18 satellites have been changed. And certainly the --19 what happens with carrying a signal has improved, just 20 with analog TV versus digital TV. 21 And we have -- the latest generation is the 22 Fleet Broadband, which is very reliable. Both vessels 23 have the IG50. They have -- that's the smallest model. And the -- it is routed through a telephone 24 A regular network system throughout the vessel 25 system.

so that a call can be made either from the bridge -- on this vessel, the bridge, the Chief Engineer's office or the Captain's office.

MR. KUCHARSKI: And you said INMARSAT. So there's INMARSAT-C. What is this -- is it -- besides INMARSAT, does it have an Alpha, Bravo or something like that?

CAPTAIN LOFTFIELD: INMARSAT is the system that maintains the geostationary satellites. They have -- for the fleet broadband, I don't even know if they've given it a letter designation.

INMARSAT-A was the first one. Huge analog.

INMARSAT-B was when they first went to digital.

INMARSAT-C was very small. Capable only of texting. And has been type approved for use in the Global Maritime Distress Signaling systems. And continues to be a workforce there.

There was the M and the mini M, which I think came out about 15 or 20 years ago that was initially for yachts. Then there was the -- I think there was the F that I have on the Westward Venture after the A got decommissioned.

And the present generation is what they're calling fleet broadband. And that's what was installed on this vessel and the El Faro.

MR. KUCHARSKI: Would you consider using the 1 2 SSAS? CAPTAIN LOFTFIELD: If -- the SSAS is 3 specifically designed to notify of an intruder. And 4 5 the beauty of the SSAS and its design is that the button can be pushed, initiated, and nobody can tell 6 7 that the button has been pushed. And there's no indication on the vessel that 8 it's been pushed. There's no alarm. There's no 9 10 buttons to press. If I had apprehension that somebody might 11 12 not have heard either the GMDSS or the EPERB, it would make sense to push the SSAS to really kind of verify 13 14 that. 15 If I had already had voice communications and described everything that was going on, I don't 16 know what would be gained by it other then to simply 17 18 say I'm pushing everything I can. 19 MR. KUCHARSKI: Do you know what kind of in 20 -- what information the SSAS system puts out? 21 CAPTAIN LOFTFIELD: I believe it puts out 22 the basic GPS data of the vessel which is position, and 23 course and speed. On our SSAS, it can also include 24 writing a message that goes in with it. But it's a very basic text message. 25

MR. KUCHARSKI: Okay. Let me zero in on 1 something. You said course and speed? Is that what 2 you -- are we fairly sure on that? 3 CAPTAIN LOFTFIELD: I'm not absolutely 4 5 certain that it has course and speed. I have seen the test messages. We do test messages on the SSAS on a 6 7 quarterly basis. And I believe it indicates -- I know on some 8 9 SSASs, it used to indicate, there's two buttons in remote locations onboard the vessel, and it indicates 10 which one of them was depressed. 11 12 MR. STITH: That was my question and he answered it. 13 14 MR. KUCHARSKI: Okay. Maybe before we 15 leave, one of the last things we can do, can we make some copies of the SA -- you said it's identical that's 16 -- the one on here that's on the El Yunque was on the 17 18 El Faro? 19 CAPTAIN LOFTFIELD: At the time that I was on the El Faro, I think that it had one of the -- they 20 21 were transitioning from one to the other. Originally 22 SSASs were stand-alone equipment when the requirement 23 first came into place. And since that time, Furuno with their newer 24

generation of the INMARSAT-C entered in software into

it so that with the existence of a stand alone button, 1 2 it would route through there, and the SSAS system would 3 go through there. The present SSAS system through the 4 5 FELCOM15, Furuno sends out an email versus the original SSAS systems were monitored by Coast Guard. 6 7 And the simple act of testing it required notifying the Coast Guard that you were going to test it before you 8 9 did it. The FELCOM15 can be tested to verify email 10 11 connectivity without any involvement or time and 12 attention requirement from the Coast Guard. MR. STITH: This is Kevin Stith with TOTE 13 14 Services. The information regarding the SSAS, it's 15 operation, and the test message procedures, where is all that information kept? 16 CAPTAIN LOFTFIELD: The vessel Security 17 18 Officer is responsible for tracking that information. 19 MR. STITH: And is the vessel security plan maintained in electronic format only? 20 21 CAPTAIN LOFTFIELD: At this time it is, yes. 22 MR. STITH: Are we allowed -- are we 23 permitted without the CSO's permission to print anything out about the SSAS from the VSP? 24 CAPTAIN LOFTFIELD: No, we're not. 25

MR. STITH: Okay. Kevin Stith, TOTE 1 2 Services. I've asked this CSO for permission to get that information. 3 MR. KUCHARSKI: It would be just basically 4 5 to find out --6 MR. STITH: Right. 7 MR. KUCHARSKI: What about the information 8 that we're seeing, that we know it's critical. 9 other line of questions along the communications sweep? MR. STITH: Kevin Stith, TOTE Services. 10 11 Have you ever had, I don't want to say opportunity, or 12 an occasion to either test or actually send a distress message from this at sea, the MFHF or the VHS? 13 14 CAPTAIN LOFTFIELD: I have not. 15 MR. STITH: In your experience on here, are 16 those pieces of equipment tested on a regular basis by the ship's crew? 17 18 CAPTAIN LOFTFIELD: The equipment is tested 19 on a regular basis by the ship's crew. The distress 20 systems on them are not tested. 21 I mean, we do not -- we do not call out a 22 fire when there is not a fire. 23 MR. STITH: Kevin Stith, TOTE Service. 24 Also, are any other services performed, like an annual 25 service on the GMDSS equipment and certified by

anybody?

CAPTAIN LOFTFIELD: The regulatory agencies make us do it. ABS. They're in charge of overseeing all of that. And there are licensed vendors working on behalf of the ABS through ABS certification processes to make sure that they're approved vendors.

And all of the equipment gets licensed and inspected on an annual basis. And there's also a requirement for having a shore-based maintenance requirement done on all of those items.

MR. STITH: Very good. On the El Yunque, have you ever had any problems with any of the GMDSS equipment not passing inspection? Or any function of it?

CAPTAIN LOFTFIELD: It always passes inspection.

MR. STITH: Okay. Thank you.

MR. KUCHARSKI: Let's go back to the El Faro. This is Mike Kucharski. The VDR on there, were there microphones associated with that?

CAPTAIN LOFTFIELD: I believe so. Each VDR
I've seen installed on this class of vessel has
microphones installed on them. Westward Venture, Great
Land, El Faro, and this on, the El Yunque, I've been on
all of them since VDRs were required. And there were

microphones.

I cannot specifically say that I remember where the microphones were on the El Faro. But, I feel confident that I would have noticed it conspicuous if they would have been absent.

MR. KUCHARSKI: While you were Master on the El Faro, did you have any engine or propulsion losses?

And let me qualify that. So when I say engine, you know I mean boilers, losses of the plant or propulsion.

CAPTAIN LOFTFIELD: Not to the best of my recollection.

MR. KUCHARSKI: Were you aware of any of the other vessels having any problems with propulsion or boiler problems?

CAPTAIN LOFTFIELD: These vessels are almost 40 years old. There have been maintenance, routine maintenance required. What could pass as a boiler problem is occasionally a boiler tube will leak.

After 40 years, there's a very clear idea of how to respond to that. And how to not have it be a major casualty.

And in order for the vessels to perform as well as they do, during the regular shippard periods, these things are surveyed and work is one in advance of having things go wrong out at sea. It's quite

impressive to me, how well they work. 1 2 MR. KUCHARSKI: Gents, I'll open it up. Any specific questions that you have? 3 MR. STITH: Kevin Stith, TOTE Services. 4 In 5 your time with TOTE Services, do you feel their risk management process was adequate? 6 7 CAPTAIN LOFTFIELD: Yes, I do. 8 MR. STITH: Thank you. 9 MR. KUCHARSKI: How about your thoughts -this is Mike Kucharski again. How about you thoughts 10 11 on safety culture overall with TOTE? 12 CAPTAIN LOFTFIELD: Over the years the loss of time incident reports and the safety total 13 14 evaluations have been annually published by Harry 15 Rogers, who is no longer with this company. There's a record of being better at safety then the rest of the 16 17 industry. 18 And my sense is that we have all worked very 19 hard to achieve that. And that the amount of pushing 20 for a safety culture that came out of Harry Rogers, 21 though often unwelcomed, generated demonstrable 22 results. Unarguable. 23 MR. KUCHARSKI: Any follow up gents? 24 (No response) Thank you. 25 MR. KUCHARSKI: Okay. It's

1826. The interview is ended. Thank you very much for Sorry to intrude. your time. And I image it's very difficult knowing the people over there. So, thank you. CAPTAIN LOFTFIELD: There were some -- I sailed with quite a few of them. (Whereupon, the above-entitled matter went off the record at 1826 hours).

A
abilities 43:12
able 13:7 41:24
45:14
above-entitled
31:13 55:7
ABS 1:14 9:17
14:23 40:7 41:12
43:22 44:11 52:3
52:5,5
absence 33:24
absent 53:5
absolutely 25:10
32:1 49:4
Academy 4:23
accentuating 34:14
accessed 27:21 40:20
'
accident 1:4 2:6,14 accidents 2:10
accurate 6:7
achieve 54:19
act 50:7
activations 6:15,15
6:16
actual 7:19 16:18
19:4
addition 39:25
adequate 54:6
adjust 18:6
Administration
26:17
advance 53:24
adverse 42:4
aft 11:7 17:10,19
35:23
afternoon 2:3
agencies 11:12
52:2
ago 47:19
ahead 19:3 23:23
25:17
alarm 44:12 48:9
alarms 15:16,19
Alaska 3:16 5:3 22:16 43:14
alerting 44:24
allowances 16:24
allowalices 10:24

Alpha 47:6 altered 21:14 American 3:23 amount 33:8 43:11 54:19 analog 46:20 47:12 **analysis** 39:3 42:6 42:8,10,14 **angle** 21:15 annual 51:24 52:8 annually 54:14 answer 22:22 24:10 answered 49:13 answers 38:1 antennas 23:17 anticipation 42:1 anybody 21:25 24:21,21,24 52:1 apparent 36:2,2 appear 44:2 applications 26:18 appreciable 17:23 21:10 apprehension 48:11 approach 12:19 26:2 approached 12:15 approaches 23:6 24:1 approaching 24:18 25:25 26:10 31:23 appropriate 22:17 approved 9:17 47:15 52:6 approximately 5:10 29:15 area 18:10,10,11,14 18:17 areas 27:12 arrangement 9:1 arrival 28:17,17 31:10 **arrive** 12:25 arrived 12:23 37:19 ashore 22:20 24:21 24:22

allowed 50:22

asked 31:21 51:2 **asking** 3:3 36:15 assessment 12:16 12:17 45:16 assessments 27:23 associated 52:20 **assume** 12:12 15:9 Atmospheric 26:16 attention 50:12 **audio** 1:22 authority 22:20 available 36:4 37:24,25 average 28:20 avoid 27:19 39:4 **aware** 24:9,10 36:15 37:13 43:15 53:12 awareness 14:20 43:1 **awful** 29:6

В

back 5:7,16 6:2 7:1 8:4 10:19 11:5,18 11:24 12:20 13:11 13:12 16:4,19 17:9 24:19 25:4 34:2 41:17,25 52:18 **bad** 30:8,8 31:23 39:5 **Bahama** 12:18 13:1 **Bahamas** 1:4 12:18 33:8 34:17 35:2 36:12,23 bailing 20:5 **ballast** 9:7 18:7 Baltimore 7:25 **band** 45:3 **barely** 23:16 **based** 11:19 36:12 46:14 **basic** 4:13 16:10 48:22,25 basically 25:14 30:4 36:20 51:4 **basing** 37:5

basis 27:8 49:7 51:16,19 52:8 **bath** 35:19 **Beacon** 45:23 **beam** 16:6 17:22 18:18 35:19,23,24 Beaufort 14:15 Beaumont 23:12 beauty 48:5 **began** 6:12 **behalf** 1:17 52:5 behavior 15:5 believe 6:4,19 8:16 48:21 49:8 52:21 Bender 6:23 best 2:12 32:7 36:22 53:10 **better** 22:21 54:16 **BHF** 23:16 **big** 41:13 **bigger** 32:18 **bilge** 29:12,15,16 29:20 44:12 **Bishop** 1:18 4:4 **bit** 21:18 blowing 26:5 **blue** 39:4 **Board** 1:1,22 31:2 **boat** 9:16 26:7 **boiler** 53:14,17,18 boilers 53:9 **Bon** 6:20 26:23 27:4,17 30:1 38:3 38:14.19 **book** 39:23 **books** 9:17 39:23 bottoms 9:7 **bow** 14:2.3 **Bravo** 47:6 breakwater 33:11 33:14,18,20,20 34:3,7,23 **bridge** 23:15,16 45:25 47:1,2 **brief** 4:15 briefly 32:2 **brings** 43:20 broadband 46:22

47:10,24 29:3,14,22 30:11 Chairman 2:20 40:7 45:19 50:6,8 brother 4:25 5:4 30:13,15,17,19,21 **change** 15:4 18:4 50:12 collision 32:19 brothers 4:24 31:1,5,8,17,19 21:10,15 **Buck** 5:5 32:1,4,13 33:1,5 changed 17:1 color 38:25 bulkhead 43:24 33:18 34:11,16 46:18 come 11:24 12:25 Bureau 3:23 35:11,21 36:14,15 changing 22:5 23:3 33:16 34:8 **button** 48:6,7 50:1 36:18,21,25 37:8 **channel** 12:18,18 comes 13:2 20:5 **buttons** 48:10 49:9 37:11,18,23 38:6 13:1 34:17 27:4 29:11 41:23 **BVS** 26:23 37:19 38:8,18,25 39:2 charge 52:3 coming 13:1 14:11 39:16 40:6,10,15 **chart** 39:2 14:14 15:4,6 C 40:23 41:1,6,10 chartered 6:14 23:11 34:18 35:23 calculated 18:23 41:12,15,19 42:3 **charts** 38:13 Commander 3:21 call 20:18 24:21 13:22 18:20 42:9,13 43:1,5,9 chasing 32:22 45:13.18 46:4.7 44:5,11,14,25 **check** 6:6 40:13 **common** 16:20 47:1 51:21 46:5,9,13 47:8 communicate checked 39:24 called 11:1 26:22 48:3,21 49:4,19 **Chief** 5:4 43:10 45:12,15,21 34:17 37:18 50:17,21,25 51:14 47:2 communicated calling 47:24 51:18 52:2,15,21 **choose** 30:6 45:21 calm 14:16,16 53:10,15 54:7,12 **chose** 4:22,23 27:7 communicating capability 28:3,6 55:5 chosen 37:1 46:2 Capable 47:14 communication **Captain's** 2:15 4:4 circumstances capacity 10:5 16:15 25:15,17 47:3 26:3 45:8 46:8 Cape 6:17,18,20 car 18:3.4 **class** 2:24 3:6 4:19 communications **Captain** 2:18,23,24 care 28:5 4:20 5:8 7:6 18:8 44:22 48:15 51:9 3:2,2,3,5,6,8,12 cargo 2:21 10:4,6 22:15 29:4 32:10 **company** 3:9 21:20 3:15,18 4:7,9,12 21:22 22:1 25:16 14:20 15:24 18:23 52:22 4:17 5:11,13,19 19:2,9,14 22:7,10 Classification 27:2 30:5 39:15 5:22 6:1,12 7:4,7 10:25 11:12 39:16 42:11,19 22:12 23:14 24:23 7:7,8,9,14,18,21 40:3,4,13,14,20 **clear** 19:14 35:3,8 54:15 7:24 8:3,10,12,14 41:2 44:13 38:15 40:10 53:19 complete 31:9 8:19,21 9:5,22 **clearly** 40:16 45:15 completely 10:2 carriage 10:5,7 10:1,10,12,15,21 **carriers** 18:4,4 **cloak** 46:1 completing 39:22 10:24 11:16 12:4 carry 22:7 **clock** 11:19 concept 23:8 12:14,16 13:16,19 **carrying** 29:5 46:19 **close** 9:21 10:18 concerned 14:19 14:1,9 15:2,7,12 case 12:7 11:10,10 12:2,11 concerns 22:5 15:15,17,20,25 casualties 10:4 13:13 28:23 29:25 condition 9:13 16:7,12,20 17:2 **closed** 39:18.21 11:15 33:24 35:24 casualty 53:21 17:13,20,23 18:14 category 40:8 43:5 40:25 41:9 44:7 18:19 19:1,17,23 43:20 closely 19:5 conditions 9:14 20:1,4,7,11,15,18 15:23 25:21 26:5 cause 2:10,12 closest 13:15 20:21 21:14,24,25 **caused** 18:17 **closing** 43:25 29:4,10 38:10 22:7,14,21 23:5,6 center 12:11 13:14 closure 44:3 40:15,16 42:4 23:20,22,24 24:2 confidence 43:12 centered 22:12 closures 43:23 24:3,5,8,11,12,15 certain 38:24,24 44:6 43:18 24:17 25:1,19 43:23 49:5 **coast** 1:4,13,15 confident 53:4 26:12,15 27:1,6 certainly 46:18 3:22 4:1 9:17 13:2 configuration 27:21 28:1,4,10 certification 52:5 13:23 18:21 23:7 16:11 28:15,18,22,25 certified 40:7 51:25 26:1 38:19 39:17 confined 42:14

confirm 3:5	dampened 33:8	designed 48:4	doubted 25:13	
Congress 2:9	dampers 43:25	desirable 12:22	download 38:13	
connectivity 50:11	44:6	determination		
consider 48:1	data 26:15,19,20	36:10 42:23	draft 11:6	
consideration	27:7,10,11,22	determine 2:10,12	drafts 18:23,23	
43:24	37:14,21,23,24	determined 16:23	19:4	
considerations	38:3,13 48:22	developed 11:1	dragging 23:17	
17:8	data's 26:20	deviation 25:3	Drain 20:9	
considered 35:17	dates 8:8	devices 45:18	drained 20:10	
35:20 44:5	day 15:14,15 42:20	diagonally 13:11	draining 20:8	
considering 36:25	days 15:14 37:16	dial 27:12	drains 20:6,7	
conspicuous 53:4	37:17,20	difference 18:22	drive 41:17	
constant 18:6	DCA16MM001 1:4	19:6	dry 29:24	
consult 21:25 30:1	dead 23:9	different 17:11 25:6	duck 36:23	
consulted 22:9	decided 25:7	27:7	due 25:4,4	
container 8:25 9:6	decision 25:15,17	differentiate 9:24	dynamics 43:6,21	
16:10	30:2,5 36:4 38:13	differentiating		
containers 16:17	decisions 22:17,21	32:11	E	
16:21 17:4,6,17	deck 14:7,21 16:11	difficult 25:21 55:3	Earl 1:7 4:9	
18:12	16:13,18,24 17:4	digital 46:20 47:13	earlier 16:13	
contingencies 24:3	17:8,12,19 19:22	direct 28:18 45:3	east 34:25	
24:4	19:22,24 20:12,17	directed 42:16	easy 26:21 39:9	
continue 4:22	20:22,23,25 21:3	direction 12:22	Echo-Alpha-Rom	
22:23 31:19	21:5 40:17 42:21	28:11,12 38:24	4:10	
continued 33:10	43:24,24	directives 21:23	education 4:22	
continues 47:17	decommissioned	discrepancy 18:25	effect 34:3	
continuing 30:25	47:22	19:7	effective 21:16	
31:16	deeper 19:10,15	discuss 21:20	either 16:5 47:1 48:12 51:12	
controlled 14:10	define 20:13			
conversation 9:6	degree 17:24 18:5 24:21 30:4		EI 1:4 2:5,6,15,16	
42:20	degrees 14:3,4 discussions 22:11		2:17 5:13,16,17	
copies 49:16	17:25 18:1,1 disposal 44:20		7:11,20,25 8:14	
cords 21:5	21:11 disrupts 33:21		8:15,22 14:25	
correct 3:17 18:19	delivered 8:1	distance 11:23	15:2,3,23 16:5,5,7	
19:17	demonstrable	distress 44:18,24	16:7 19:20,20	
couple 36:7	54:21	45:1 47:16 51:12	24:13 26:25 29:21 33:3 37:15 42:18	
course 21:10,14	1:13 4:1,1	1:13 4:1,1 51:19		
32:19 48:23 49:2	16:2 38:18,18	docking 29:24	47:25 49:17,18,20	
49:5	39:1,10	doing 6:4 29:5,10	52:11,18,24,24	
crew 12:9 30:11	departing 12:15	30:16 35:20 41:16	53:3,7	
51:17,19	departure 19:2	42:11	electronic 31:10	
critical 51:8	28:17 39:23	door 39:14,14,19	45:23 50:20	
CSO 51:2	depending 27:20	40:22 41:14,16,23	email 50:5,10	
CSO's 50:23	depressed 49:11	41:24 44:3	embarrassed 23:20	
II aI4a [1.44 OA	describe 12:2	doors 39:18,24	emergency 44:18	
culture 54:11,20				
Curtis 5:4	described 48:16	40:3,3,4,11 41:2,5	44:19 45:18,20,24	
Curtis 5:4	design 16:14 48:5	41:13	emersion 19:8	
•				

	empty 17:6	experiences 19:20 Floyd 9:12 12:11,14		gents 54:2,23	
	encourage 24:8	experiencing 15:22 12:23 13:3		geostationary	
	ended 55:1	explain 10:17 23:1 foam 14:14		46:15 47:9	
	enforcement 2:11	Express 3:11,13 follow 13:20 41:11		getting 14:21 33:9	
	engine 41:22 53:7,8	5:3	54:23	34:10 35:25	
	Engineer 5:4	extension 21:5	foot 9:4 13:7,24	GIL 1:18	
	Engineer's 47:2	extensive 6:18	14:6	Gilbert 4:3	
	engineering 15:19	22:15	force 34:10	give 4:15 27:13	
	entered 49:25	extra 25:12,12,12	forces 11:22	38:22	
	entering 34:22	25:12	fore 17:18	given 14:23 26:4	
	Enterprise 6:20		forklift 41:25	47:11	
	entire 5:5 11:23	F	form 42:11	gives 28:7,9 38:20	
	27:10 35:25 39:2	F 47:21	format 50:20	Glad 39:7	
	entry 34:25 39:23	facing 13:6	forth 11:18 12:20	Global 45:1 47:16	
	environment 14:10	fairly 5:21 9:21 17:7	13:11,12 25:5	GMDSS 44:25	
	envision 42:24	49:3	34:2 41:17,25	45:10,22 48:12	
	EPERB 45:23 48:12	far 18:9 30:5,25	forum 22:19	51:25 52:12	
	equator 46:15	34:19 38:23 44:21	forward 11:7	go 3:19 4:23 11:18	
	equipment 40:20	46:12	found 34:13	12:22 18:1 19:3	
	41:22 44:22 46:9	Faro 1:4 2:6,17	four 17:20 19:6	23:23 25:17 32:10	
	49:22 51:16,18,25	5:16 7:12,20,25	30:23 43:6,20	36:10 40:4,13,22	
	52:7,13	8:14,15,22 14:25	four-month 6:23	41:17 50:3 52:18	
	equipped 45:9	16:5,7 19:20	free 46:1	53:25	
	Eric 4:25	24:13 26:25 29:21	frequency 45:4,4	goes 48:24	
	ESQ 1:18	33:3 37:15 42:18	Friday 1:9	going 6:24 13:4	
	essentially 2:9,22	47:25 49:18,20	fuel 25:13	14:19 17:5 22:5	
	12:23 13:11 32:6	52:19,24 53:3,7	full 9:16 16:22 17:4	22:20 23:1,4 25:4	
	33:3 34:23	faster 11:24 32:10	28:24 29:1 37:5	25:6,11,16 26:7	
	established 10:25	favorable 35:24	function 52:13	28:14 29:8 30:3,7	
	11:11	Fear 6:17	functions 28:10	30:22 34:1 36:2	
	evacuation 26:2	feel 53:3 54:5	funneling 34:15	37:2,11 38:10	
	evaluations 27:23	feet 21:9 29:16	further 33:3 35:6,6	40:17,17 43:13,17	
	54:14	FELCOM15 50:5,10	35:14	48:16 50:8	
	evening 31:15	Feltel 1:18 4:3,3 find 38:4 51:5	Furthermore 33:7	good 2:3 6:22	
	evidence 20:21	fire 44:6 51:22,22	Furuno 49:24 50:5	30:21 31:15 38:9	
	exactly 20:2 37:13	firm 4:4		52:11	
	example 41:13	first 6:19 12:25	gained 48:17	GPS 48:22	
	excessively 21:13 exhaust 44:1	37:16 45:13 47:12	gather 26:14 28:7	graduated 5:8	
	existence 50:1	47:13 49:23	gear 15:24	graphic 26:21	
		fit 25:18	gears 21:18	38:15 39:8	
	expect 29:22 experience 4:13	five 8:1 37:20 44:6	generally 19:5,9	graphical 38:22 great 4:7,12 5:15	
	7:6,10 15:16	fleet 46:22 47:10,24	25:24 32:16 39:8	5:20 7:23 11:21	
	17:22 18:8,16	Flickertail 6:24	generated 35:7	52:23	
	34:9 35:3 38:11	flooding 14:8	54:21	greater 14:15 21:9	
	51:15	Florida 1:10 13:3,5	generation 46:21	greatly 35:5	
	experienced 14:24	36:11	47:23 49:25	green 20:18,22	
	33:17 44:12	flow 21:7	generators 29:7	group 2:20 20:13	
	JU. 17 TT. 12		J	9. Vup 2.20 20.10	
Ш		I	I		

hull 29:13 Guard 1:13,15 3:22 48:20 50:14,16,18 4:2 9:17 13:23 **hundred** 13:16 51:3,7 Jacksonville 1:10 18:21 23:7 26:1 hundreds 11:5 initially 6:1,3 47:20 2:16 9:20 12:24 38:19 39:17 40:7 hurricane 9:11 initiated 48:6 13:5 24:18 25:5 45:19 50:6,8,12 12:11,12,14,19,23 initiation 45:18 Joaquin 32:24 INMARSAT 46:14 **guess** 25:8 13:3,14 23:5,11 **job** 42:10,13 **Gulf** 3:15,16 22:15 23:25 24:2,4,17 46:14 47:4,6,8 **Juan** 9:20 43:14 26:6 32:25 36:8,9 **INMARSAT-A** 47:12 37:6 43:6 **INMARSAT-B** 47:13 K Н hurricanes 32:14 INMARSAT-C 47:5 **Katrina** 23:11,11 handled 8:24 47:14 49:25 **keels** 29:12,15,20 handling 8:23 inside 40:22 44:4 **keep** 18:7 happen 37:12 43:2 idea 53:19 inspected 40:7 **keeps** 32:22 happening 33:23 identical 49:16 52:8 Kennedy 6:21 **happens** 33:13 identify 2:25 3:20 inspection 14:19 **kept** 50:16 46:19 identifying 45:7 52:13,16 **Kevin** 1:14 3:25 **hard** 54:19 **IG50** 46:23 inspections 40:19 15:21 19:19 36:6 harmonic 33:21 **image** 55:3 installed 47:24 42:17 50:13 51:1 Harry 4:18 54:14,20 **impact** 14:12 52:22,23 51:10,23 54:4 hazard 42:10,13 impeded 26:4 instances 41:21 kind 14:6 17:22 head 13:7 26:11 impressive 54:1 insurance 11:2 21:22 24:22 30:5 improved 46:19 30:3 insuring 11:3 40:21 45:7 48:13 heading 23:2 35:14 **inches** 19:7,7,18 integrity 44:9 48:19 **heard** 48:12 29:17 intended 40:1 **King** 23:15 heavy 14:24 21:9 incidence 12:8 interface 26:21 **Kings** 5:1 40:16 incident 1:4 2:7 interfaces 38:15 **Knew** 37:11 height 17:6 28:11 16:9 54:13 interfere 25:20 knot 17:22 28:12 inclement 43:18 interfering 41:20 **knots** 14:17 16:8 **heights** 17:1 39:3 include 6:17 45:22 **International** 4:18 29:5.9.9 32:16 **high** 17:7,10,14,16 48:23 interpret 39:9 know 5:21 14:16 45:4 includes 2:20 interpretation 16:16 23:24,25 higher 9:12 16:18 increased 16:14 42:23 30:6 44:1,7,23 **highly** 32:15 incredibly 9:13 interruption 30:10 47:10 48:17,19 hindsight 37:7 indicate 21:6 49:9 **interview** 1:7 31:12 49:8 51:8 53:9 **history** 36:8 37:24 **indicates** 49:8,10 31:17 55:1 knowing 37:5 hit 24:15 28:14 Indicating 45:23 **INTERVIEWEE** 43:17 55:3 **hitting** 14:2 18:18 indication 38:10 1:17 known 12:18 **hold** 44:13 44:12 48:8 intrude 55:2 **Korea** 6:24 **holds** 40:13 44:13 indicative 14:15 intruder 48:4 **Kucharski** 1:13 2:3 **Hole** 34:24 **induce** 18:5 **Investigated** 28:5 2:8,19,25 3:3,4,7 **homed** 6:14 induced 34:7,22 investigation 2:6 3:10,14,17,19 4:6 **hopes** 2:13 35:9,9,11 involvement 50:11 4:12 5:11,20,23 Horizon 10:1 industry 5:9 54:17 Irrespective 16:25 6:8 7:1,5,8,11,16 hot 42:14 inflammatory 23:19 **island** 33:14 7:19 8:9,11,17,20 information 19:2 hourly 28:8 issues 15:23 19:21 8:23 9:19,24 10:8 hours 28:22 31:14 21:19 26:14 27:4 20:3 10:11,14,17,22 31:14 35:2 55:8 27:7,13,19 28:9 items 21:4 52:10 11:13 12:1,10 **Huge** 47:12 37:14 38:5 45:11 13:13,17,20 16:4

II			
16:10.16.25 17:9	16:10,16,25 17:9 31:22		Luther 23:15
17:18,21 18:11,16	· · ·		Lykes 6:20
19:13,13 20:14	lessons 2:13	20:21 21:14,24 22:7,14 23:5 24:2	
21:18 22:2,11,23	let's 21:9 39:13	24:11,15,17 25:1	M
23:20,22 24:9,14	52:18	25:19 26:12,15	M 47:18,18
24:16,19 25:14	letter 47:11	27:1,6,21 28:1,4	machinery 10:3
26:9,13,24 27:3	level 18:24 20:12	28:10,15,18,22,25	main 16:18 17:12
27:17,24 28:3,7	24:25	29:3,14,22 30:11	17:19
28:13,16,20,23	licensed 52:4,7	30:15,17,19,21	maintained 50:20
29:1,12,19,25	Lieutenant 3:21	31:1,5,8,17,19	maintains 47:9
30:13,16,18,20,24	13:22 18:20	32:1,4,13 33:1,5	maintenance 6:15
31:4,6,11,15 32:2	life 5:5	33:18 34:11,16	52:9 53:16,17
32:9,23 33:2,13	light 9:12	35:11,21 36:14,18	major 26:6 53:21
34:6,13 35:8,13	lighter 11:15	36:21,25 37:8,11	making 14:19 25:3
36:5,15 39:11,13	Lights 5:14,15 7:22	37:18,23 38:6,8	managed 42:15
40:2,9,12,21,24	8:13,21	38:25 39:2,16	management 21:22
41:4,7,11 44:17	Lima-Oscar-Foxt	40:6,10,15,23	54:6
44:17 46:3,6,11	4:10	41:1,6,10,15,19	maneuver 32:18
47:4 48:1,19 49:1	limits 11:11 17:3	42:3,9,13 43:1,5,9	35:15
49:14 51:4.7	line 31:20 51:9	44:5,14,25 46:5,9	maneuvering 21:8
52:18,19 53:6,12	lines 10:1 25:11	46:13 47:8 48:3	manholes 42:21
54:2,9,10,23,25 31:22		48:21 49:4,19	manner 12:21
	list 17:22,24 18:5	50:17,21,25 51:14	maps 26:17
L	18:17	51:18 52:2,15,21	margin 10:18
laid 7:23	listing 21:13	53:10,15 54:7,12	maritime 3:9 4:13
land 5:15 7:23	little 21:18 29:14	55:5	4:14,23,24 5:7
34:15 52:24	live 29:7,8	log 39:22,23 41:3	6:19 45:1 47:16
large 14:10 40:3	living 38:25	logged 39:20 40:24	marks 9:15,21
41:4	load 16:11,13,13,24	40:25 41:2,8	10:18,21,24 11:1
larger 27:11	17:8	logging 41:6	11:6,6,8,10 12:2
lashes 12:8	loaded 9:14,15	long 5:23 11:21	19:11
lashing 15:24	17:16 27:24	28:16 29:16 45:3	Martin 23:15
latest 46:21	loads 16:22	longer 12:5,6 38:13	Mass 5:7 6:19
law 4:4 39:17	locations 49:10	54:15	Massachusetts
lay 7:25 8:1,11	Loftfield 1:7 2:23	look 17:9,14 27:22	4:23
LCDR 1:15 3:21	3:2,3,5,8,12,15,18	30:2 39:6	Master 5:24 6:9,13
13:22 14:5,22	4:9,10,17,25 5:13	looked 28:4 32:23	8:18 42:18 53:6
18:20 19:12	5:22 6:1,12 7:4,7	33:6 35:14	Mate 6:2,10 7:10,16
lea 33:15	ea 33:15 7:9,14,18,21 8:10		9:11 13:19 14:18
lead 23:9,19	8:14,19,21 9:5,22	30:12 35:22 37:15	<u>14</u> :25 15:10 43:10
leak 53:18	10:1,10,12,15,21	looks 43:16	1:13 4:1 38:18
lean 33:25	10:24 11:16 12:4	loss 2:6 54:12	matter 31:13 55:7
learned 2:14	12:14 13:16,19	losses 53:7,9	max 18:23 19:2,9
leave 21:20 22:1	14:1,9 15:2,7,12	lot 14:12 18:17 29:6	19:14
23:18,23,25 25:15	15:15,17,20,25	29:11 43:11	maximum 16:6,14
25:17 49:15	16:7,12,20 17:2	low 23:16	mean 10:12,15,22
leaves 12:2	17:13,20,23 18:14	lower 12:8	16:18 35:9 46:9
leaving 25:20 26:4	18:19 19:1,17,23	Lundeberg 4:18	51:21 53:9
î î	1	İ.	i .

means 11:23 16:17 meeting 21:15 **member** 30:12 mentioned 12:10 13:25 31:18 46:3 message 42:19 44:24 48:24,25 50:15 51:13 messages 49:6,6 methods 46:2 **MFHF** 51:13 **Miami** 13:5 microphones 52:20 52:23 53:1,3 mid 45:4 mid-body 29:18,19 midnight 31:3 midships 11:7 **Mike** 1:13,14,15 2:8 2:19 3:21,23 14:23 19:13 41:12 43:22 44:11,17 52:19 54:10 miles 13:16 25:12 28:19 37:2 Millar 1:14 3:23,23 14:23,23 15:3,9 15:13,16,18 41:12 41:12,18 42:2,5 42:12 43:22,22 44:10,16 mini 47:18 minutes 30:20,22 Mississippi 4:20 **mode** 46:7 **model** 46:23 **models** 33:5 Mohican 6:18 moment 26:4 money 25:12 monitored 50:6 months 5:10 8:1,17 **morning** 17:14 **Morse** 45:2,10 motion 3:10,12 5:2 12:3 13:8 33:21 34:4.8 mounted 45:25

move 23:10 32:16 39:13 41:25 **moved** 21:6 **moving** 12:20 38:23 **MSC** 6:14 23:13 myriad 6:14

Ν **name** 2:8,19 4:7,9 narrow 45:3 **nasty** 39:7 **National** 1:1,22 26:16 nautical 2:21 nearly 9:15 necessary 43:15 need 20:13 41:14 45:21 needed 7:24 **needs** 39:20 Negative 15:25 network 46:25 never 25:10,13 28:1 newer 49:24 **NOA** 38:14 normal 33:4 **north** 35:6 northbound 34:18 **Northern** 5:14.15 7:21 8:13.21 northwest 25:4 34:23,24 notice 6:15 8:24 31:10 noticed 53:4 notification 44:21 notified 25:1 notifies 45:19 **notify** 25:7 48:4 notifying 50:7 **noting** 39:25 **notion** 23:18 **NTSB** 1:4.13 2:9.9 **number** 8:17 12:5 29:6 41:23 43:23 44:13

0

obey 39:17 observe 19:11 observed 45:15 occasion 51:12 occasionally 53:18 ocean 5:5 **Oceanic** 26:16 **October** 1:5,9 2:4 31:18 37:17 offer 33:19 office 2:15 22:4 25:2,7 42:16 47:2 47:3 **Officer** 50:18 official 41:3 **oh** 3:12 29:16 37:1 37:8 39:7 **Ohio** 4:20 okay 2:3 3:2,4 5:20 6:8 7:1,5,11,16,19 10:11,18 11:13 12:1 15:9 16:17 16:25 17:9,18 20:6 21:8,17 23:22 24:14,15 27:3,17 30:19,24 31:1,4,6,11,15 32:9 33:13 34:6 35:8,13 36:5,18 36:24 37:4,10,22 38:1,7,17 39:13 40:2,12 41:7,18 42:2,12 43:4,8 44:10 46:3 49:1 49:14 51:1 52:17 54:25 **old** 12:18 13:1 53:16 **older** 5:1 oldest 4:25 onboard 2:5 5:5 9:1 23:14 49:10 open 41:15 42:22 42:25 54:2 **opened** 39:20 40:22,25 41:5,8

opening 36:23 39:19 openings 20:12 33:7 40:6 44:3 operation 42:1 50:15 operations 2:20,21 2:21 24:25 41:21 operators 26:7 **opinion** 26:10 opportunity 51:11 **opposed** 35:18 orange 39:5 orbiting 46:15 order 23:6,23,25 24:12 26:1 53:22 ordered 23:12 orders 24:5 ordinary 42:7,10 original 7:1 50:6 originally 11:1 49:21 outside 21:21 22:5 45:24 overall 54:11 overloading 11:3 overseeing 52:3 overview 4:15

P-R-O-C-E-E-D-I-... 2:1 **p.m** 2:2 package 27:11 37:19 **Pardon** 30:11 part 2:5 42:5,15 44:6 particular 5:24 22:4 32:10 34:14 particularly 43:23 parts 45:22 pass 33:14 34:14 53:17 passage 34:16,24 34:24 **passes** 52:15 **passing** 52:13

41:14

	I	İ	
path 35:4	point 5:1 8:3 13:15	probably 13:6	questioning 31:20
Paul 5:5	18:3 34:15	30:22 45:13 46:17	questionings 31:20
pay 30:14	policy 39:14,15,16	problem 53:18	questions 2:18
payoff 30:12	39:17,22	problems 52:12	13:20 16:2 36:7
payoffs 31:9	pop 42:25	53:13,14	39:11 41:11 51:9
payroll 6:6	popped 42:21	procedures 50:15	54:3
pendulum 11:18,19	port 20:11 21:21	process 21:20,24	quite 22:23 53:25
people 55:4	22:1 23:6,6,7,9,12	24:20 54:6	55:6
perform 53:22	23:17,19,23,23,24	processes 52:5	
performed 51:24	23:24,25 24:3,6,8	produced 1:22	R
period 9:8 11:17,17	24:12,12 25:15,17	profiles 27:8	radio 23:16 45:4,23
11:21 12:4 29:24	25:20,21 26:11	program 42:5,15	rainwater 20:17
periodic 21:1	31:23,24 32:7	projection 37:25	range 45:3
periods 6:23 53:23	42:1	projections 26:19	rate 11:18
permanent 9:7	portion 6:22	26:20	raw 38:13
permission 22:1	ports 14:21	propeller 15:4,5	react 9:2
50:23 51:2	position 13:17	29:8	reading 19:11
permit 40:15	45:23,24 48:22	propulsion 53:7,9	really 16:9 17:2
permits 42:14,14	possibilities 23:2	53:13	33:19 39:5 45:20
permitted 11:8	possibly 22:5 25:25	prospect 37:1	48:13
50:23	27:11	protected 34:7	reason 42:24
person 22:16 43:10	posted 45:25	provide 27:18,20	recall 15:22
personal 4:4,6	postponed 31:2	provided 1:22	received 25:11
personally 38:12	powers 2:11	Providence 34:17	rechristened 5:16
phenomena 27:13	prediction 28:14	prudent 35:15 36:4	8:15
34:14	38:20	published 54:14	recognize 29:20 recollection 14:13
Philadelphia 6:4	prefer 27:22 30:16	Puerto 12:15 25:5	15:8 35:21 53:11
8:2	preference 31:22	pull 8:8	recommendations
phone 46:3,7	31:25	purchased 27:1	2:13 24:5 27:22
physics 11:17	preferred 39:3	purposes 11:2	
nieking 10:6	broborod 12:7		record /lix /Uiill
picking 10:6	prepared 43:7	push 48:13	record 4:8 20:10
piece 32:18	presence 36:22	pushed 48:6,7,9	31:14 54:16 55:8
piece 32:18 pieces 51:16	presence 36:22 present 1:17 4:15	pushed 48:6,7,9 pushing 48:18	31:14 54:16 55:8 records 6:6
piece 32:18 pieces 51:16 Pilot 5:2	presence 36:22 present 1:17 4:15 9:14 47:23 50:4	pushed 48:6,7,9 pushing 48:18 54:19	31:14 54:16 55:8 records 6:6 red 39:6
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16 49:23	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16 49:23 placed 9:6	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16 49:23 placed 9:6 plan 25:2 50:19	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16 49:23 placed 9:6 plan 25:2 50:19 planet 27:10 planning 38:9,16 plans 27:14,16	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23 printed 19:2	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4 quartering 9:8	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2 regarding 50:14
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4 quartering 9:8 quarterly 49:7	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2 regarding 50:14 regards 21:8 36:7
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23 printed 19:2 printing 45:4	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4 quartering 9:8 quarterly 49:7 question 7:2 22:22	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2 regarding 50:14 regards 21:8 36:7 38:3
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23 printed 19:2 printing 45:4 prior 10:3 26:2	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4 quartering 9:8 quarterly 49:7 question 7:2 22:22 24:20 36:14,17,19	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2 regarding 50:14 regards 21:8 36:7 38:3 regular 40:19 46:25
piece 32:18 pieces 51:16 Pilot 5:2 pilots 25:22 26:6 pitching 13:9 place 14:8 20:24 33:6 34:22 46:16 49:23 placed 9:6 plan 25:2 50:19 planet 27:10 planning 38:9,16 plans 27:14,16 plant 53:9 plates 41:16 play 10:19 43:6	presence 36:22 present 1:17 4:15 9:14 47:23 50:4 presentation 38:15 presently 5:2 press 48:10 presumes 22:20 pretty 30:6 primarily 21:5 primary 26:15 45:3 46:7 print 50:23 printed 19:2 printing 45:4 prior 10:3 26:2 priority 44:23,23	pushed 48:6,7,9 pushing 48:18 54:19 put 8:25 9:7 31:25 puts 48:20,21 putting 12:8 Q qualify 53:8 quarter 13:10,24 14:2,4 quartering 9:8 quarterly 49:7 question 7:2 22:22 24:20 36:14,17,19 37:4 38:2,2 40:11	31:14 54:16 55:8 records 6:6 red 39:6 reduce 34:4,4 reduced 10:4 33:11 35:5 redundancy 45:2 reefers 21:5 29:6 referred 11:25 refueled 23:13 regard 13:23 38:2 regarding 50:14 regards 21:8 36:7 38:3 regular 40:19 46:25 51:16,19 53:23

	I	I	I	
52:2	roll 11:16,17,21	I 11:16,17,21 47:9		
relatively 14:16	34:2,12,21	saying 11:14	seen 12:20,21	
relevant 27:12,12	rolled 21:13	Scale 14:15	17:16 18:22 19:21	
reliable 11:19 38:4	rolling 9:8 12:4	scene 22:17	19:23 20:21 21:2	
46:22	17:25 34:4,8,19	scheduled 12:24	21:4 29:4 33:6	
relief 7:24	34:25 35:3	scheduling 30:25	36:8,13 37:15	
relieved 8:4	rolls 9:9,13	31:2	49:5 52:22	
remember 20:16	room 3:20 41:22	school 4:14,18 5:7	sees 25:18	
29:20 53:2	RoRo 5:12 7:3,5,9	schooling 4:14	select 27:6	
remote 49:10	rotation 8:4,5,7	screen 44:2	self 20:5,7	
repair 41:19	rough 15:5	screened-in 44:2	send 44:24 51:12	
repairs 41:16	route 12:17 22:6,13	scuppers 20:13,13	sends 50:5	
repeat 36:18	23:1 25:6,18	scuttles 40:4,21,23	sense 17:5,23	
repeated 2:14	28:18 30:5 37:2	41:1 42:21,25	48:13 54:18	
reported 15:19	50:2	sea 8:9,12 11:8	sensitive 22:8	
reports 54:13	routed 46:24	12:13 14:24 26:11	sent 27:8 33:3	
representation	routine 53:16	31:25 33:24 34:4	September 37:16	
38:23	routinely 40:25	34:7,21 35:9	service 6:4 10:2	
representative 4:5	41:2	39:18,20 40:13	22:15 51:23,25	
4:6	routing 21:19 27:19	41:5,14,16,20	services 1:14 3:25	
require 45:6	30:1,7 37:14	44:13 45:2,10	15:21 19:19 36:6	
required 21:25 50:7	run 6:2,3,3 9:20	,		
52:25 53:17	11:22 22:4 28:16 Seafarers 4:18		42:18 50:14 51:2 51:10,24 54:4,5	
requirement 49:22	28:17,24 29:7	Seamanship 4:19	serving 14:25	
50:12 52:9,10	30:9 32:6 43:13	seas 9:3 13:7,24	seven 18:1	
requirements	running 3:15 5:3	14:6 19:22 20:17	shaft 29:8	
16:24	35:17,18	21:9,15 33:12,21	sharp 9:13	
respect 43:12	runs 11:5	35:19,23 40:16	shelter 33:15,19	
respond 53:20		SeaStar 6:3 10:6	35:3 36:4	
responding 21:12	S	seaway 9:2	Shift 21:18	
36:21	SA 49:16	second 6:2,10 7:16	shifting 15:24	
response 39:12	safe 11:10 33:6	8:7 9:11 12:5	ship 6:18 9:11	
54:24	40:1	13:19 14:7,18,21	10:23 11:25 12:1	
responsibility	safety 1:1,22 21:22	14:25 15:10 19:22	17:17 18:7,8,13	
22:16	23:10 42:8,15	19:22,24 20:17,22	21:12,15 22:12,13	
responsible 50:18		54:11,13,16,20 20:23,25 21:3,5		
rest 24:19 31:7,8	sail 6:13 11:8 18:10	25:8 42:21	39:25 40:12	
54:16	18:11,14,17 23:4	seconds 12:5	ship's 51:17,19 Shipping 3:24	
restricted 17:7		23:8 secure 43:16		
results 54:22		sailed 2:23 3:6 4:20 secured 39:18,24		
reviewed 37:20,23	5:11,13 6:13	43:19	11:14 29:4 32:13	
reviewing 39:25	37:20 43:11 55:6	securing 43:15,22	shipyard 6:23	
Rico 12:15 25:5	sailing 5:9,10,18	44:7	53:23	
right 3:14 26:8 51:6	9:15 24:13 25:2,2	security 50:17,19	shore-based 52:9	
risk 25:24 42:6 54:5	31:2	see 9:12 13:20	shore-side 22:25	
Rita 23:11	San 9:20	17:10 18:25 22:9	short 4:21 24:10	
Rivers 4:21	satellite 27:9 45:9	28:13	shorten 11:20	
Rogers 54:15,20	satellites 46:15,18	seeing 14:7,14	shorter 9:8 11:16	
II				

	1	I	l	
showing 19:15	specify 27:10 45:11	cify 27:10 45:11 20:6,9,16,20 21:8		
side 12:6 14:21	speculation 43:3	21:17 36:6,6,17	26:23,24 27:5,18	
18:1,12 20:11	speed 28:11,24	36:20,24 37:4,10	27:24 30:2 37:19	
21:6,6,13 36:11	29:1,11 32:5,11	37:13,22 38:1,7	38:3,14,19 45:1	
signal 46:19	38:24 48:23 49:2	38:17 42:17,17	45:22 46:16,25,25	
Signaling 45:1	49:5	43:4,8 49:12	47:8 48:20 50:2,4	
47:16	spell 4:8	50:13,13,19,22	systems 32:4 47:16	
signals 45:20	spend 7:12	51:1,1,6,10,10,15	50:6 51:20	
simple 38:14 50:7	spent 6:9 7:25	51:23,23 52:11,17		
simply 43:2 48:17	splash 21:1	54:4,4,8	T	
single 16:9 19:3	spray 20:17	stop 30:14,18 31:12	tacking 13:12	
sinking 11:4	SSAS 48:2,3,5,13	stopping 30:25	take 17:13 19:1	
Sir 18:21	48:20,23 49:6	stops 33:22 34:25	23:1 24:4 25:12	
sister 2:17	50:2,4,6,14,24	storm 25:25 26:2,5	25:22 26:8 28:21	
situation 24:22	SSASs 49:9,22	26:10 28:14 32:4	taken 9:6	
32:17 44:18,18,19	stability 2:20 9:17	32:6,7,8,10,24	takes 12:6 20:23	
six 5:10 14:15	39:25	34:19 35:16,25	talk 17:11	
17:10,16 28:8	stable 18:9	37:2 38:20	talked 22:25 38:20	
32:16	stack 16:23	storms 32:14,14,15	talking 17:11 18:12	
six-hour 27:8	stacked 16:21	straight 13:4,8	tall 33:20	
slight 19:6	17:14	14:11	Tango-Foxtrot-In	
slower 32:5	stackings 16:22	straits 13:4 36:11	4:11	
small 26:5 47:14	stagged 41:22	strategy 44:8	Tanner 1:18 4:3	
smallest 46:23	stand 20:1 50:1	stress 12:8	tasked 2:9	
snap 9:9,13	stand-alone 49:22	stripped 17:15	Technically 14:3	
Society 10:25	standard 25:3	strong 33:10,25	Ted 6:21	
11:12	standing 15:10	stuff 39:4,5,5,6	telephone 30:10	
software 26:18	19:21,24	subjected 11:22	45:10,13,18 46:24	
49:25	stands 16:9	34:19	tell 4:13 16:17 25:8	
somebody 9:18	started 4:17 8:7,7	submerge 11:8	27:3 28:8 48:6	
48:11	starts 34:11	substantial 9:3	ten 4:25 17:25	
somewhat 21:1	state 4:7 6:24 14:24	sudden 33:16 34:8	ten-week 8:4,5	
soon 35:4	34:22	34:10	tender 8:25 9:16	
Sorry 55:2	stated 16:12,16	suffice 5:21	10:9,10,13,16	
source 26:15 38:4	42:18	sufficiently 20:9	tends 35:5	
south 6:24 12:17	statement 23:19	suggestions 28:2	terminated 10:2	
13:2,5 17:5	42:24	suitable 22:9	terms 45:2	
southbound 9:20	stating 6:7	support 42:1	test 49:6,6 50:8,15	
17:18 23:2 28:21	station 45:7	sure 3:19 29:23	51:12	
30:9	stay 26:11 39:4	36:5 46:17 49:3	tested 50:10 51:16	
southeast 25:4	staying 31:23	52:6	51:18,20	
southward 14:11	steam 29:7,8	surveyed 53:24	testing 44:15 50:7	
space 42:14	stern 14:4	sweep 51:9	text 38:23 45:11	
specific 54:3	stiff 11:14,25	swell 28:11 33:9,21	48:25	
specifically 42:16	stiffer 9:5	34:21 35:4,9,10	texting 47:15	
48:4 53:2	Stith 1:14 3:25,25	35:12	thank 3:18 7:11	
specifics 24:7	15:21,21 16:1	swells 13:4,12	14:5,22 16:1	
specified 41:3	19:19,19,25 20:2	14:10 35:7	19:12 20:15 21:17	

п
25:12 12 20:17
35:12,13 38:17 39:10 44:16 52:17
54:8,25 55:1,4
thing 18:6 21:2
25:19 32:8 45:13
things 43:19,20
45:16 49:15 53:24
53:25
think 10:19 20:14
23:19 29:17 31:19
31:20 35:14 47:19
47:20 49:20
thinks 19:10
Third 7:10
thoughts 54:9,10
three 17:7,19,24
18:5 44:13
three-month 4:20
tight 39:14,14,18
39:19,24 40:3,6
40:11 41:2,5,13
41:23,24 44:7,9
time 4:21 5:9,18 6:
7:12,19 8:12,13
8:15 11:9,21
12:13,16,24 13:7
13:18 15:1,10
19:1,3 24:13 25:2
25:7,12 28:20
31:5,6,8,9,9 35:22
38:4 39:20,21
49:19,24 50:11,2 ²
54:5,13 55:2
times 34:18
today 2:4 17:10
31:17
told 23:8,10,18
total 12:5 16:13,13
19:6,7 54:13
TOTE 1:14 3:9,25
15:21 19:19 36:6
42:18 50:13 51:1
51:10,23 54:4,5
54:11
TOTEM 5:2
track 19:5 32:23
33:4 35:14,22
36:8,12 37:5

tracked 32:15 tracking 50:18 **traffic** 32:17,18 tragic 2:14 **Trailer** 3:11,12 5:2 trailers 40:4 training 6:18 trans 25:22 transcript 1:22 **transit** 25:22 transitioning 49:21 transmission 45:5 transmitted 27:9 transportation 1:1 1:22 2:10 travel 32:5 38:16 traveling 33:22 travels 32:5 tremendous 29:5 43:11 **tried** 21:10 trim 19:6 trip 6:24 7:22 28:21 **triple** 16:22 tropical 32:14,24 true 9:10 trunks 43:25 44:2 trust 9:18 try 2:11 trying 36:3 **tube** 53:18 tug 26:7 **turbo** 29:7 turn 11:24 29:16 **TV** 46:20,20 Twenty-nine 5:9 **two** 4:24 9:22,25 15:14 16:14 17:7 17:24 18:5 19:7 19:18 41:24 43:23 46:13 49:9 type 24:24 27:4,19 28:8 34:14 44:22 47:15 typically 9:19

U

U.S 1:13,15 3:22

Unarguable 54:22 understand 2:17 Underway 8:20 unfavorable 26:5 29:11 **Union** 4:18 unpredictable 12:21 unwelcomed 54:21 upright 18:7 **use** 26:13.19.21.22 28:10 44:6,22 46:11 47:15 **useful** 45:19 utilize 26:20 38:8 41:25 utilized 28:1 utilizes 29:6

varying 29:3

VDR 52:19,21 **VDRs** 52:25 vehicles 41:17 **Velma** 6:20 **vendors** 52:4,6 ventilation 43:25 44:1 **Venture** 5:14 47:21 52:23 1:15 3:21,22 13:22,23 14:5,22 18:20,21 19:12 verify 48:13 50:10 versus 46:20 50:5 vessel 2:17,24 3:6 5:6,12,24 6:9 7:6 7:13 8:23 9:10 11:3,7 13:8,18 18:9,24 22:15,18 25:23 29:4 30:3,6 30:9 32:19,20,20 34:1,2,5,11,18,25 45:9 46:1,13,25 47:2,25 48:8,22 49:10 50:17,19 52:22

vessel's 22:21 vessels 5:12,19,21 6:13,14,17 7:2,3 16:4 23:7 46:22 53:13,15,22 VHS 51:13 voice 42:19 46:4,5 46:7,7 48:15 voyage 26:23 27:4 27:18,18,19 30:2 38:3,14,19 40:1 voyages 22:24 VP 24:25 VSP 50:24

W **walked** 20:25 **Wall** 34:24 want 10:19 31:4 39:4,6 41:24 51:11 **wanted** 18:21 wants 32:7,8 wasn't 24:18,24 watch 15:19 18:6 watchers 45:20 **watches** 15:10 water 14:7,20 15:4 15:6 19:10,15,21 19:23,24 20:4,6 20:19,22 21:2,7 33:22 39:14,14,17 39:19,23 40:2,6 40:11 41:2,4,13 41:23,24 44:7,8 wave 28:11 34:10 34:22 39:3 waves 9:12 13:3 14:14 21:1 way 8:24 13:2 25:18 29:15 35:1 43:15,23 45:25 We'll 31:11 we're 2:4.15 9:14 9:15 17:5,11 18:12 19:10 26:7 29:5,10 31:16 35:6 50:25 51:8

I	ı	1
we've 35:1	wrong 53:25	2015 1:5,9
weather 21:19,19		21 29:9
21:19,21 22:8,9	X	219 4:19
22:11 23:4 24:22		23 29:5
25:20,25 26:14,16	Y	25 5:6
26:17 27:13,18,20	yachts 47:20	250 29:16
11	yard 6:22	29 5:8
28:8,9,9 29:10	year 5:10 10:2,3	29 5.8
30:1,1,7,8,8 31:23	years 5:1,6,8,9,18	3
37:14,14,19 38:3	6:9,10 9:22,25	35 13:6,24 14:6
39:11 40:17 43:18	11:6 16:14 46:17	33 13.0,24 14.0
weight 16:24 17:1	47:19 53:16,19	4
weights 17:4	54:12	4:17 2:2
welfare 22:18		40 13:6,24 14:6
went 4:14 5:1,7 8:4	yellow 39:5	i
15:13 31:13 32:24	Yunque 2:5,16,16	46:17 53:16,19
36:9 47:13 55:7	5:14,17 15:2,3,23	45 14:3,4 16:8
west 12:17 33:3,4,6	16:5,8 19:20	17:21 21:11
33:7 35:6,15	49:17 52:11,24	5
36:10	7	
Westward 5:14	<u>Z</u>	500 37:2
47:21 52:23	zero 49:1	55 28:22
willing 25:22	0	6
wind 14:12,16 16:6		
17:22 18:4,10,18	1	7
28:11 33:15,19,19		
33:25,25 34:1	1 1:5	8
35:9,11,19,23	1,090 28:19	86 5:8
wind-induced	12 29:17	80 5.8
17:24	13 18:1	9
winds 33:10	14 35:2	9 1:9
	15 5:18 6:9,10	90 21:11
windswept 14:14 wish 45:11	32:16 47:19	9th 2:4 31:17
	16 5:18	9012.4 31.17
witness 38:9	1700 31:11,14	
words 17:25	1749 31:14,16	
work 6:18 42:14	1750 31:18	
53:24 54:1	1826 55:1,8	
worked 3:10 18:3	1976/1977 4:19	
54:18	1999 6:2	
workforce 47:17		
working 3:8 11:11	2	
52:4	20 9:4 14:17 21:9	
works 5:2	29:9 30:22 47:19	
worse 26:1,1,1	2000 6:2,12	
worthy 11:3	2001 6:25	
wouldn't 37:12	2003 7:22	
write 19:3	2006 8:16	
writing 48:24	2007 8:16	
written 44:8	2010 6:5 7:23 8:12	
	=919 0.0 7.20 0.12	
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CERTIFICATE

MATTER: El Faro Incident

Accident No. DCA16MM001 Interview of Earl Loftfield

Jacksonville, Florida

DATE: 10-09-15

I hereby certify that the attached transcription of page 1 to 68 inclusive are to the best of my professional ability a true, accurate, and complete record of the above referenced proceedings as contained on the provided audio recording; further that I am neither counsel for, nor related to, nor employed by any of the parties to this action in which this proceeding has taken place; and further that I am not financially nor otherwise interested in the outcome of the action.

NEAL R. GROSS

NTSB RESPONSE (in bold blue) TO TABLE OF CORRECTIONS TO TRANSCRIPT OF INTERVIEW FOR

EARL LOFTFIELD

TAKEN ON

		OCTOBER 9 20	15
PAGE NUMBER	LI IE NUMBER	CURRENT WORDING	CORRECTED WORDING
	110111211		
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If, to the best of your knowledge, no corrections are needed kindly circle the statement "no corrections needed" and initial in the space provided.

NO CORRECTIONS NEED. Initials

<r(.

Earl Loftfield

Printed Name of Person providing the above information

Signature bove information

Date '

NTSB RESPONSE (in bold blue) TO TABLE OF CORRECTIONS TO TRANSCRIPT OF INTERVIEW FOR <u>EARL LOFTFIELD</u> TAKEN ON OCTOBER 9, 2015

PAGE	LINE	CURRENT	SUGGESTED CORRECTED	NTSB RESPONSE
NUMBER	NUMBER	WORDING	WORDING	
3	10	Motion	Totem Ocean	AGREE
3	12	Motion	!Totem Ocean	AGREE
5	2	Motion	Ocean	AGREE
6	14	Myriad Homed	MARAD owned	AGREE
9	6	Conversation	Conversion	AGREE
9	8	Quartering	Bordering	AGREE
12	8	Lashes	Cargo lashing	Do not agree. Sounds like "lashings"
18	9	Then	Than	AGREE
19	8	Emersion	Immersion	AGREE
22	14	None	Some	Do not agree. Sounds like "Actually no, this class"
22	15	Has	Have	this class" Do not agree. Transcript is correct
23	16	BHF	VHF	AGREE
29	11	Then	Than	AGREE
33	3	Sent	Went	AGREE
33	15	Lea	Lee	AGREE
35	19	Bath	Aft	AGREE
35	24	About	Abaft	AGREE
44	6	Five	Fire	AGREE
45	2	In Morse at sea	INMARSAT-C	AGREE
45	6-8	Entire paragraph	No guess at what was intended	Do not agree. Transcript is correct
45	10	And Morse at sea	INMARSAT-C	AGREE
45	23	EPERB	EPIRB	AGREE
46	1	Cloak	Float	AGREE
46	23	IGSO	1-250	AGREE
47	17	Workforce	Work horse	Do not agree. Transcript is correct
48	12	EPERB	EPIRB	AGREE
53	24	One	Done	AGREE

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6 - NTSB Response to Earl Loftfield Errata Sheet signed